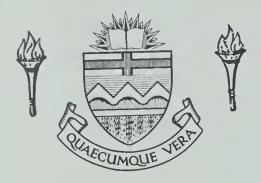
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ECONOMIC OPERATION OF A HYDRO-THERMAL SYSTEM CONTAINING COMMON-FLOW HYDRO-ELECTRIC PLANTS

by



RAJINDER KUMAR VERMA

A THESIS

SUBMITTED TO THE FACULTY OF GRADUATE STUDIES

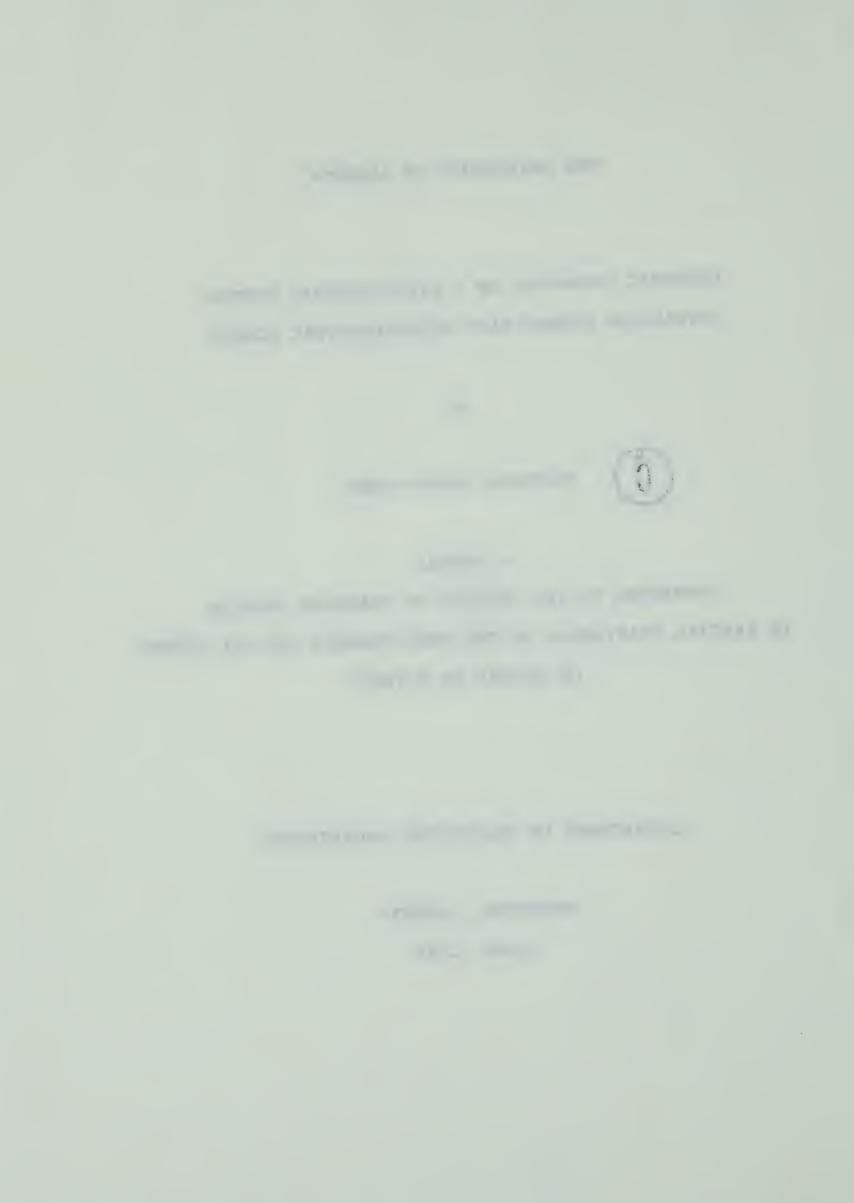
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The undersigned certify that they have read, and recommend to the Faculty of Graduate Studies for acceptance, a thesis entitled "Economic Operation of a Hydro-Thermal System Containing Common-Flow Hydro-Electric Plants" submitted by Rajinder Kumar Verma in partial fulfilment of the requirements for the degree of Master of Science.



ABSTRACT

This thesis investigates the problem of economic scheduling of an interconnected hydro-thermal power system, over short intervals. The minimization of the operating cost of the system is treated as a Lagrange problem using the Calculus of Variations. A new method has been developed for obtaining scheduling equations for the hydro-plants of the system. The effect of the variation of head upon the hydro-plant characteristics and the transmission line losses are taken into consideration.

The scheduling equations for the hydro-plants located on separate streams are shown to be equivalent to those derived by Glimn and Kirchmayer [14].

New scheduling equations are developed in this thesis for the common-flow hydro-plants of the system. For simplicity it has been assumed that only two hydro-plants are located on the same stream. The time taken by water to flow from the upstream plant to the down-stream plant is taken into account. The method is general in nature and can be extended to cases where more than two hydro-plants are located on the same stream.

The scheduling equations are applied to a simple power system to test their effectiveness. The computing technique used for doing so is also discussed.

ACKNOWLEDGEMENTS

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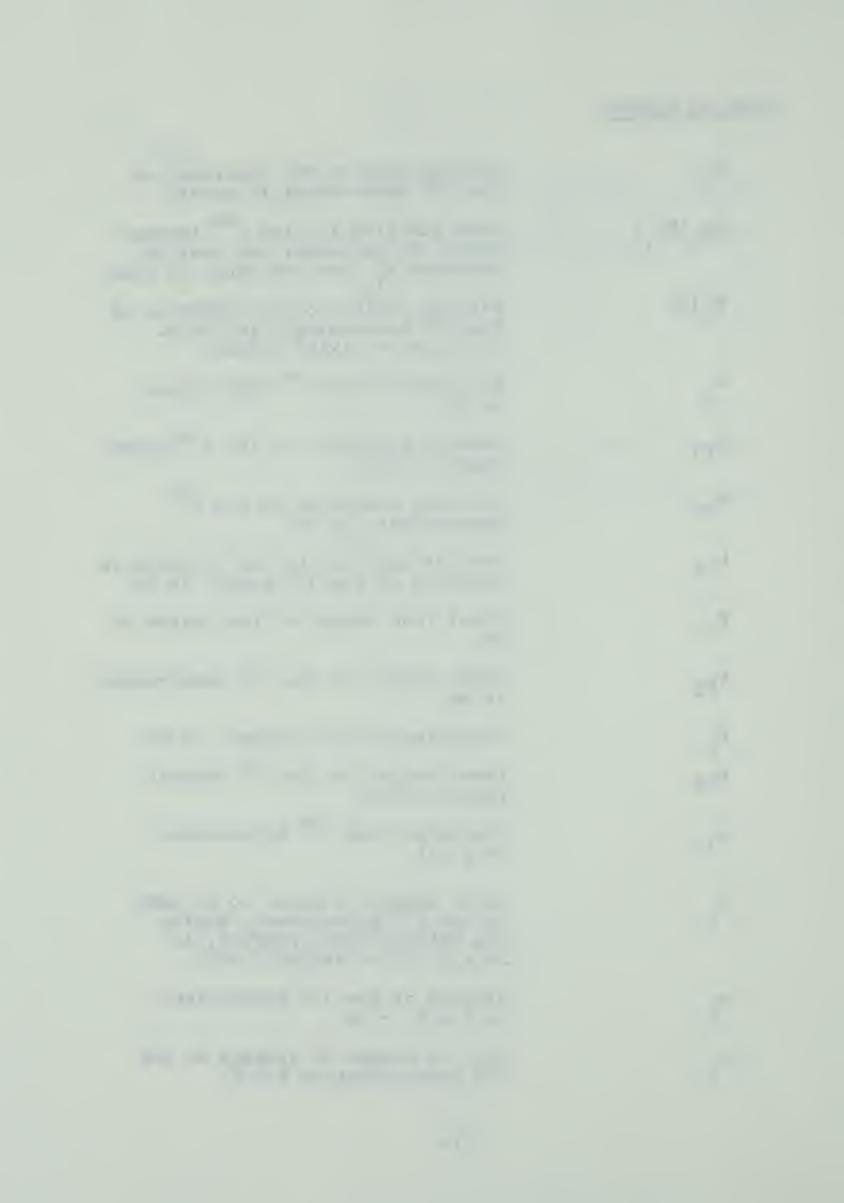
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List of Symbols

^A j	Surface area of the reservoir of the j th hydro-plant, in Acres.
C _{Ti} (P _{Ti})	Cost function for the i th thermal plant, to represent the cost to generate P _T for one hour, in \$/hr.
F _j (t)	Natural inflow to the reservoir of the j th hydro-plant, in K.S.F. (1 K.S.F. = 1x10 ³ ft/sec);
h j	Net head at the j th hydro-plant, in ft.
h _{Fj}	Forebay elevation at the j th hydroplant, in ft.
h _{Tj}	Tailrace elevation at the j th hydro-plant, in ft.
h _{Lj}	Loss of head due to the friction in conduits of the jth plant, in ft.
P _D	Total load demand of the system, in Mw.
P _{Hj}	Power output of the j th hydro-plant, in Mw.
$\mathtt{P}_\mathtt{L}$	Transmission line losses, in Mw
P _{Ti}	Power output of the i th thermal plant, in Mw.
gj	Discharge from j th hydro-plant, in K.S.F.
Ç	Total amount of water to be used by the j th hydro-plant, during the optimization interval, in (1K.S.F hr = 3600x10 ³ ft ³).
sj	Storage at the j th hydro-plant, in K.S.F hr.
j	Rate of change of storage at the jth hydro-plant, in K.S.F.



T	Optimization interval, in hours.
^Y j0	Water conversion coefficient for the j th hydro-plant at t=0, in \$/K.S.Fhr.
λ	Lagrange multiplier, used as the incremental cost of the system, in \$/Mw-hr
σj	spillage at the j th hydro-plant, in K.S.F.

,



CHAPTER I

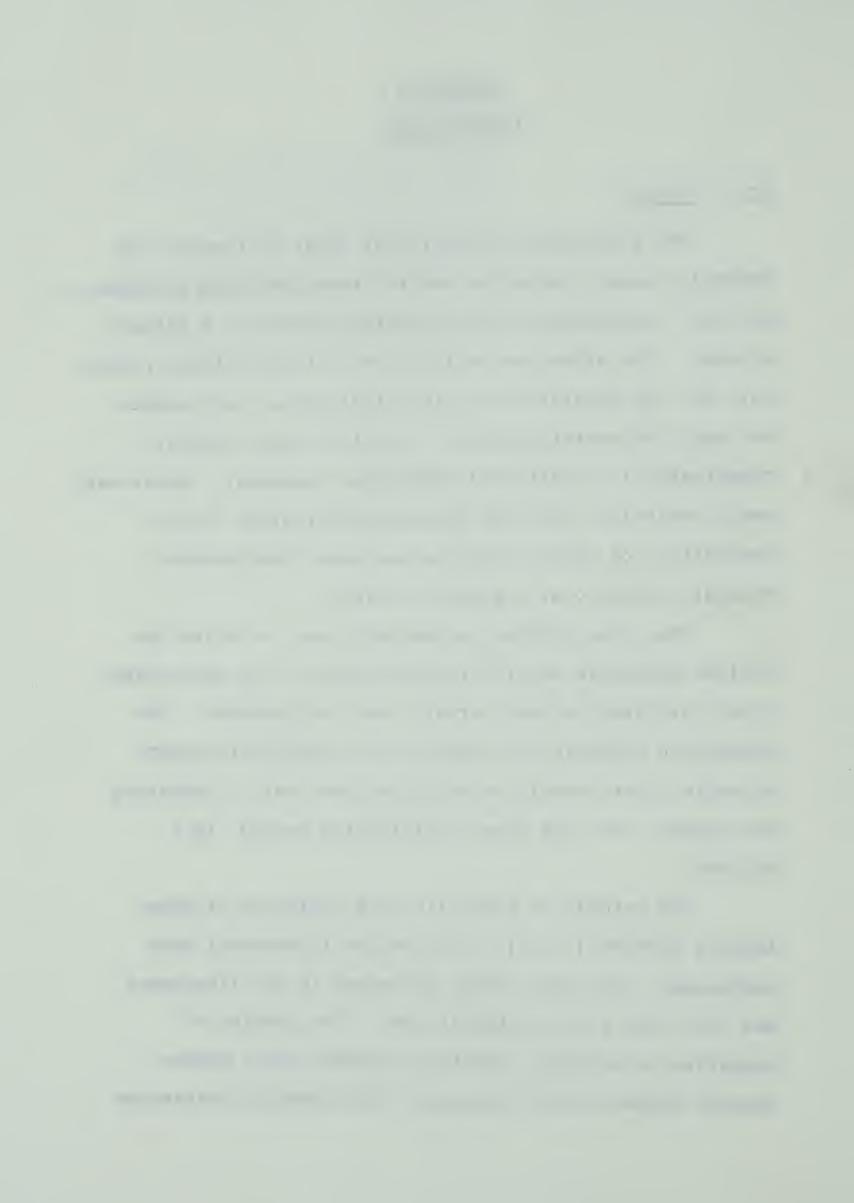
INTRODUCTION

<u>l-l</u> General

The generation of electrical power by thermal and hydraulic means, using the natural resources such as water and coal, represents a very important factor in a nation's economy. The effective utilization of these natural resources, for the generation of electrical power, will reduce not only the operating costs, but also future capital commitments for additional generating equipment. Relatively small deviations from the optimal coordination of the expenditure of these resources can cause considerable monetary losses over a period of time.

Thus, the problem is basically one, in which the limited available natural resources have to be most effectively utilized to meet certain load requirements. The generation schedules for each of the thermal and hydroelectric plants should be such that the cost of operating the system, over the given optimization period, is a minimum.

The methods of scheduling the operation of pure thermal systems [1,2,3], by using the incremental cost techniques, have been widely discussed in the literature and have been put to extensive use. The problem of preparing an economic operating schedule for a hydrothermal system is more complex. The essential difference



between the operation of an all thermal and a hydrothermal system is that the operation of the thermal plants depends only upon the conditions which exist from instant to instant, while the operation of the hydrothermal system depends upon the conditions which exist over the entire optimization interval [4].

The hydro-thermal systems can be divided into two catagories. In the first, the hydraulic plants are located on separate streams, and in the second some or all the hydraulic plants are situated on the same stream. In the latter case, the discharges from the upstream plants affects the operation of the downstream plants as well [5]. In this case, the time taken by the water to flow from the upstream plants to the downstream plants should also be taken into consideration.

The optimization problem can be classified on the basis of the duration of the optimization period:

- (a) Long-range problem The period of optimization may range from a few months to one year.
- (b) Short-range problem The optimization interval may extend from 24 hours to a week.

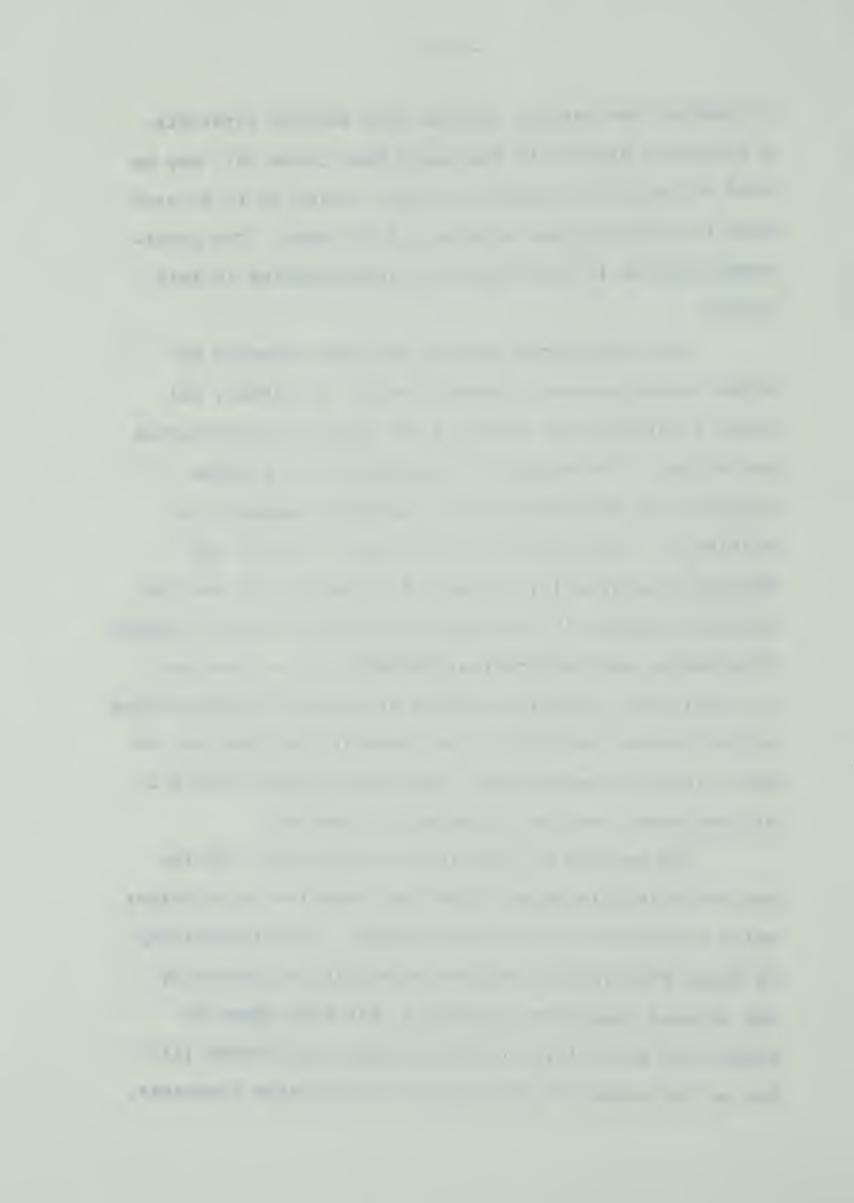
The difficulties in predicting the natural inflows, makes the long range problem rather complex. As a matter of fact, only probabilistic techniques may give worthwhile results for a long-range problem. It is relatively easier



A technique similar to the Basic Rule Curve [6], may be used to decide the amount of water, which is to be used over the optimization interval of 24 hours. The short-range problem is the subject of investigation in this thesis.

The short-range problem has been attacked by other investigators in several ways. In almost, all cases a mathematical model of the system is constructed and solved. The method of formulating the problem depends upon the mathematical technique adopted for solving it. The Variational Calculus [7,8,9], the Maximum Principle [9], Dynamic Programming [9] and the Gradient Methods [9] have been successfully used. Dynamic Programming and the Gradient Methods, for a given set of conditions, actually compute all possible combinations of the thermal and hydro-plant generations from hour to hour; and then select that combination which results in minimum cost over the optimization interval.

The methods of Calculus of Variations, and the Maximum Principle depend upon the iterative calculations which converge to the desired answers. The feasibility of using Pontryagin's Maximum Principle for preparing the optimal generation schedules, has been shown by Dhalin and Shen [10], and Hano, Tamure and Narita [11]. But as the number of the plants in the system increases,



it becomes quite difficult to match the two point boundary conditions for the state and the adjoint equations and to evaluate the Hamiltonian numerically.

The Variational techniques have been extensively discussed and used to obtain the optimal generation schedules. Ricard [12] used the Variational Calculus to arrive at the well-known Ricard's equation. Cypser [5] posed the problem using the Calculus of Variations and solved the resulting Euler equations by the Steepest Descent method. He discused the common-flow problem as well. Chandler, Dandeno, Glimn and Kirchmayer [13] advanced the concept of incremental cost to combined hydro-thermal systems, by treating the hydro-plants as constant head plants. Glimn and Kirchmayer [14] improved upon this method by considering the effect of variation of head upon the hydro-plant characteristics. Arismunandar [1] made an effort to formulate the problem for a combined hydrothermal electric system, but made no effort to consider systems containing the Common-flow plants. Menon [15] used the Calculus of Variations approach to formulate the problem and then used the Euler equations for constructing sets of minimizing sequences.



1-2 Scope of the Thesis

The purpose of this investigation is to obtain, using Calculus of Variations, general scheduling equations for a hydro-thermal power system containing common-flow hydro-electric plants. The integral of the cost of operating the thermal plants of the system, over the optimization interval, is taken as the performance index for the problem. The transmission losses in the system and the effect of variation of head upon the hydro-plant characteristics are taken into consideration.

The scheduling equations for the hydro-plants located on separate streams, are shown to be equivalent to those by Glimn and Kirchmayer [14] (their equations are extensions of Ricard's equations). New coordinating equations are obtained for plants located on the same stream.

An example to illustrate this method is discussed. The system, under study, consists of one thermal and two hydro-plants. The hydro-plants have storage facilities on the same river. The transmission losses and the time lag between the reservoirs are considered negligible. The scheduling equations for the common-flow plants are simplified for the given system.

The numerical results are obtained with the help of the IBM-360 digital computer system. Fortran IV programming language is used. The programme is discussed in some detail.



CHAPTER II

SYSTEM CHARACTERISTICS AND CONSTRAINTS

2-1 A General Statement of the Problem

Consider a system containing M thermal and N hydro-electric plants, interconnected electrically by a network of transmission lines. A predetermined limited amount of water is to be used by each hydroplant, over the optimization period. The natural inflows and the load demand are known functions of time. It is desired to operate this system to meet the load demand in such a way that the total operating cost of the system, over the optimization interval, is a minimum. Some or all the hydro-plants may be located on the same stream. The transmission losses and the effect of variation of head upon the hydro-plant characteristics are to be taken into consideration.

In order to construct a suitable mathematical model of the system, the characteristics of the various elements of the problem should be examined in detail.

The main elements of the problem are:

- (i) Hydro-electric power plants,
- (ii) Thermal plants and the cost function,
- (iii) Transmission losses in the system, and
 - (iv) The system restrictions.



2-1 Hydro-electric Power Plants

The presence of the hydraulic plants in a system makes the problem quite complex. Inaccuracies in predicting the natural inflows to the reservoirs, further complicates the problem. In the following analysis it is assumed that the natural inflows to the reservoirs of the hydroelectric plants are known functions of time.

It is, however, interesting to compare the performance of the steam and hydraulic power plants. A hydraulic turbine can go from a stationary position to full load in 1-3 minutes [16], while it may take hours to fire up a steam plant and put it on line. A hydraulic turbine spinning under no load will pick up load almost instantaneously. The hydraulic plants are inexpensive means of reliable reserves and are guite adaptive to load variations.

The output of a hydraulic turbine is given by:

$$P_{H} = \frac{q.h.\eta}{11.8} Kw \qquad (2.1)$$

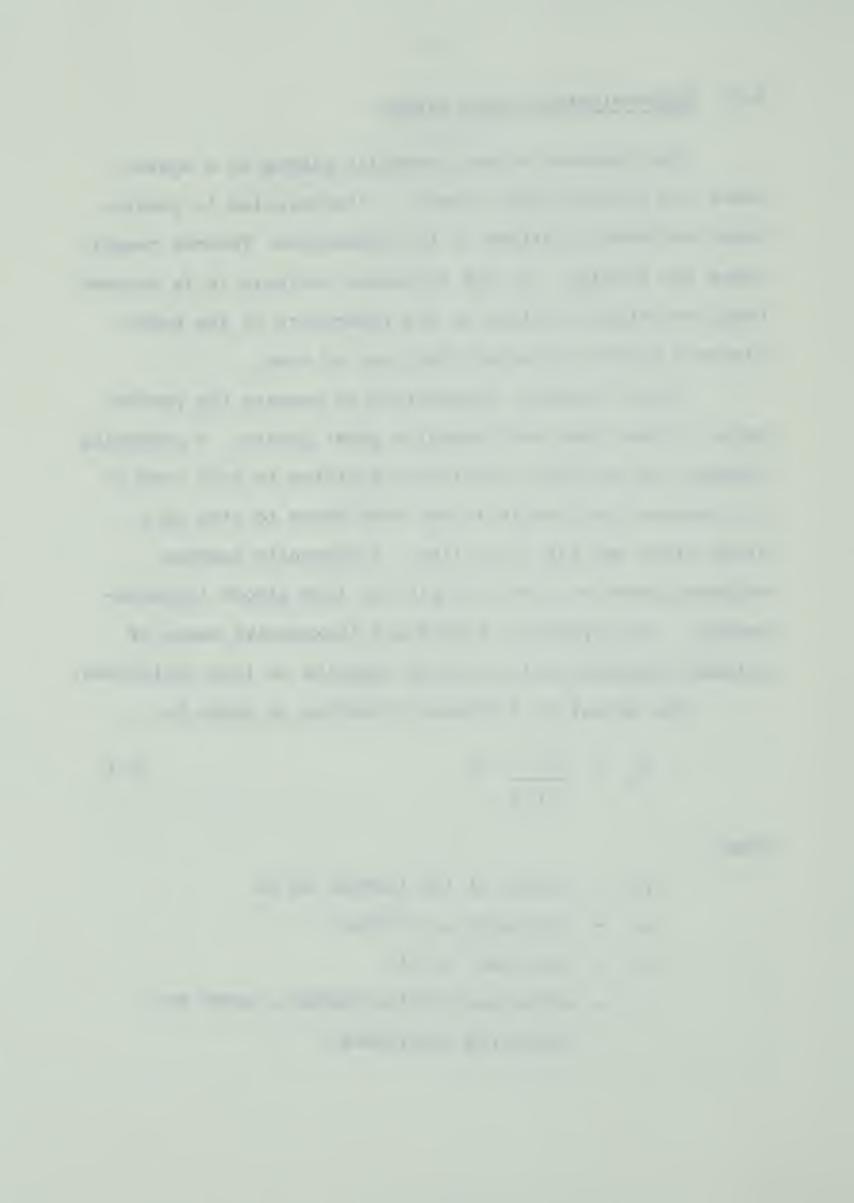
where

 P_{H} = Output of the turbine in Kw

q = discharge in ft /sec

h = net head in ft

η = efficiency of the turbine, under the
operating conditions.



The efficiency (n) of a turbine depends upon its design and the operating condition. Thus, for a given turbine, n can be represented as a nonlinear function of the discharge and the net head. A hydro-electric power plant consists of a number of such turbines. In general, the output of a power plant can be represented as a function of the head and the discharge. This is done by collecting the input-output data for a plant and fitting surfaces into this experimental data [14]. Thus, the output of the jth hydroplant (at any instant of time t) can be written as:

$$P_{Hj} = P_{Hj} (q_j, h_j, t)$$
 (2.2)

The problem stated in section 2-1, is posed as a fixed end point Lagrange problem in the Calculus of Variations in the subsequent chapters. To do this, it is desirable to represent the output of the hydro-electric plants as a function of storage at the plant and the rate of change of storage at the plant and at all the upstream plants (if any) [5]. This is done by representing the discharge and the net head at the plant as functions of the storages and their time differentials as explained in the following paragraphs.

In the following analysis, it is assumed that only the kth and k+1st plants are located on the same stream (both plants have adequate reservoir capacity), the rest of the plants are located on separate streams.

(a) Discharge

(i) Plants on separate rivers: The discharge can be represented as a function of the natural inflow to the reservoir of the plant and time differential of the storage at the plant. Thus for the jth plant

$$q_{j}(t) = F_{j}(t) - s_{j}(t)$$
 (2.3)

(ii) Common-flow plants: When the hydroplants are located on the same stream, the discharges of the upstream plants affect the performance of the downstream plants as well. The water released from the upstream (kth hydro-plant) plant takes time τ to reach the downstream plant (k+1st hydroplant); that is, the water released at an instant (t-τ) from the kth plant shall reach the k+lst hydroplant at an instant t. Hence, for the kth plant

$$q_k(t) = F_k(t) - s_k(t)$$
 (2.4)

and for the k+1 st plant

$$q_{k+1}(t) = F_{k+1}(t) + q_k(t-\tau) - s_{k+1}(t)$$
 (2.5)

The above expressions can easily be extended to a case in which more than two plants are located on the same stream.

(b) Net head

The available head for power generation is given by the difference between the forebay and the tailrace elevation minus the losses due to the friction, etc. in the conduits. That is, net head at the jth hydroplant

$$h_{j} = h_{Fj} - h_{Tj} - h_{Lj}$$
 (2.6)

The forebay elevation can be represented as a function of storage in the reservoir of the plant while the tailrace elevation and the frictional losses can be represented as functions of discharge. The discharge, however, can be represented as a function of time differentials of the storages as discussed in the previous paragraphs. Thus the net head can be represented as a function of storage at the plant and of the time differential of the storage at the plant and at the upstream plants (if any).

(c) Output of the Hydro-electric Plants

The output of a hydroplant is a function of discharge and net head at the plant, as discussed while deriving the equation (2.2). The discharge and the net head can be represented as a function of the storage and the time differential of the storages and the natural inflows. As the natural inflows are uncontrollable variables, and since their values at various instants are known, the plant outputs can be represented as functions of the storages and their time differentials only.

(i) Plants on separate rivers:

$$P_{Hj} = P_{Hj} (s_j, \dot{s}_j, t)$$
 (2.7)

(ii) For the common-flow plants:

The output of the kth (upstream) plant is given by:

$$P_{Hk} = P_{Hk} (s_k, \dot{s}_k, t)$$
 (2.8)

and, the output of the k+lst (downstream) plant is given by,

$$P_{Hk+1}(t) = P_{Hk+1}(s_{k+1}(t), (q_k(t-\tau)-\dot{s}_{k+1}(t)))$$

=
$$P_{Hk+1}(s_{k+1}(t), (\dot{s}_{k}(t-\tau)+\dot{s}_{k+1}(t)))$$
 (2.9)

 $\dot{s}_k^{(t-\tau)}$ can be represented as some nonlinear function of $\dot{s}_k^{(t)}$ and τ , i.e.,

$$\dot{s}_{k}(t-\tau) = f(\dot{s}_{k}(t), \tau) \tag{2.10}$$

If τ is considered to be a constant, then,

$$P_{Hk+1}(t) = P_{Hk+1}(s_{k+1}(t), f(\dot{s}_{k}(t)), \dot{\dot{s}}_{k+1}(t))$$

$$= P_{Hk+1}(s_{k+1}, \dot{s}_{k}, \dot{s}_{k+1}, t) \qquad (2.11)$$

2-3 Thermal Plants and the Cost Functions

The problem, under study, is one in which the cost of operating the system over a given interval is to be minimized, while it is desired to meet a given load demand. The operating costs are only those costs which are directly related to the output of the generating units. The capital costs on the reservoirs and the generating units are not taken into account here, since these are not functions of the power outputs. Thus the cost of operating the system is attributed to the cost of operating the thermal plants only. The operating cost [17] of a thermal plant consists of:

- (i) Starting cost,
- (ii) No load spinning cost,
- (iii) The loading cost.

The starting cost depends upon the down-time of the units. If a unit is stopped and then restarted immediately, there will be no or little starting cost. In general,

$$$$ $$ $$ $$ $$ $$ $$ $$ (1-e^{-at})$$

where

a = cooling rate

\$₀ = cold start cost

The no load and the loading cost indicate the fuel expenditure to run the unit once it has been started and placed on line. The no load cost is a constant rate of fuel expenditure incurred as long as the unit is running, while the loading costs are directly related to the output of the thermal plants.

By making suitable assumptions, the cost of operation of a thermal plant can be represented as a quadratic or cubic polynomial of the output of the plant.

Thus, for the ith thermal plant,

$$C_{Ti} = C_{Ti}(P_{Ti}, t)$$
 (2.13)

$$i = 1, 2, ..., M$$

The cost function for the whole of the system can be represented as a sum of the cost functions of all the thermal plants in the system.

That is,

$$C_{T} = \sum_{i=1}^{M} C_{i}(P_{Ti}, t)$$
 (2.14)

or

$$C_{T} = C_{T} (P_{T1}, P_{T2}, \dots, P_{TM}, t)$$
 (2.15)

2-4 Transmission Line Losses

Due to the development of large integrated power systems and the interconnections between networks, it is necessary to consider not only the cost of operating the generating units but also the cost of transmitting electrical power from the generating stations to the loads. This is taken care of by considering the power losses in the transmission lines while formulating the problem.

From the point of view of mathematical formulation, it is desirable to represent the power losses in the system as a function of the source outputs. It is done by using the approximate transmission loss formula [18]. The formula is,

$$P_{L} = \begin{array}{cccc} N+M & N+M \\ \Sigma & \Sigma & P_{X} & B_{XY} & P_{Y} \end{array}$$

$$= \begin{array}{cccc} N+M & N+M \\ \Sigma & \Sigma & P_{X} & B_{XY} & P_{Y} & M \end{array}$$
(2.16)

where

B_{xy} = Loss formula coefficients.

P_x, P_y = Source powers (output of the M thermal and N hydroplants)

2-5 System Restrictions

The total cost of operating the system is to be minimized subject to the following constraints:

(i) The total generation of the system should be equal to the system load demand plus the transmission losses in the system.

That is,

This equation must be satisfied at all instants during the optimization interval.

(ii) The amount of water to be used by each hydroplant, during the optimization interval, is predetermined and limited. That is,

$$\int_{0}^{T} q_{j} dt = Q_{j} = constant$$

$$j = 1, 2, ..., N$$
(2.18)

(iii) Besides the above-mentioned constraints, the economic schedule must conform to other design

and functional requirements. These can be classified as,

- (a) Design limitations, and
- (b) Functional limitations.

The design limitations appear because of the reservoir and plant characteristics. The size of the dam, the reservoir, the penstocks, the turbines, etc., determine these limitations.

The limits on maximum discharge due to the design considerations can be written as,

$$q_{j} \leq q_{j_{max D}}$$
 (2.19)

The value of q depends upon the design of $j_{\text{max }D}$ the penstocks and the turbines.

The forebay elevation is restricted to a definite range. The minimum and maximum values are determined by the design of the dam, the reservoir and the location of the intakes. That is,

$$h_{\text{Fj}_{\min D}} \leq h_{\text{Fj}} \leq h_{\text{Fj}_{\max D}}$$

$$j = 1, 2, ..., N.$$
(2.20)

The forebay elevation is directly related to the storage, hence equation (2.20) can also be interpreted as,

$$s_{j_{\min D}} \leq s_{j} \leq s_{j_{\max D}}$$
 (2.21)

$$j = 1, 2, ..., N$$

The functional limitations arise because of the fact that most of the hydro-electric plants are multi-purpose in nature; hence it may be necessary to maintain certain discharges and storage levels to meet obligations other than power generation. These requirements may be seasonal or regular, but for any generation schedule to be admissible, these conditions must be satisfied. Some of these restrictions are given below.

Maximum forebay elevation due to flood prospect,

$$h_{\text{Fj}} \leq h_{\text{Fj}_{\text{max}}} \text{ (flood)}$$

$$j = 1, 2, \dots, N$$

Minimum plant discharge and spillage to meet the irrigational obligations,

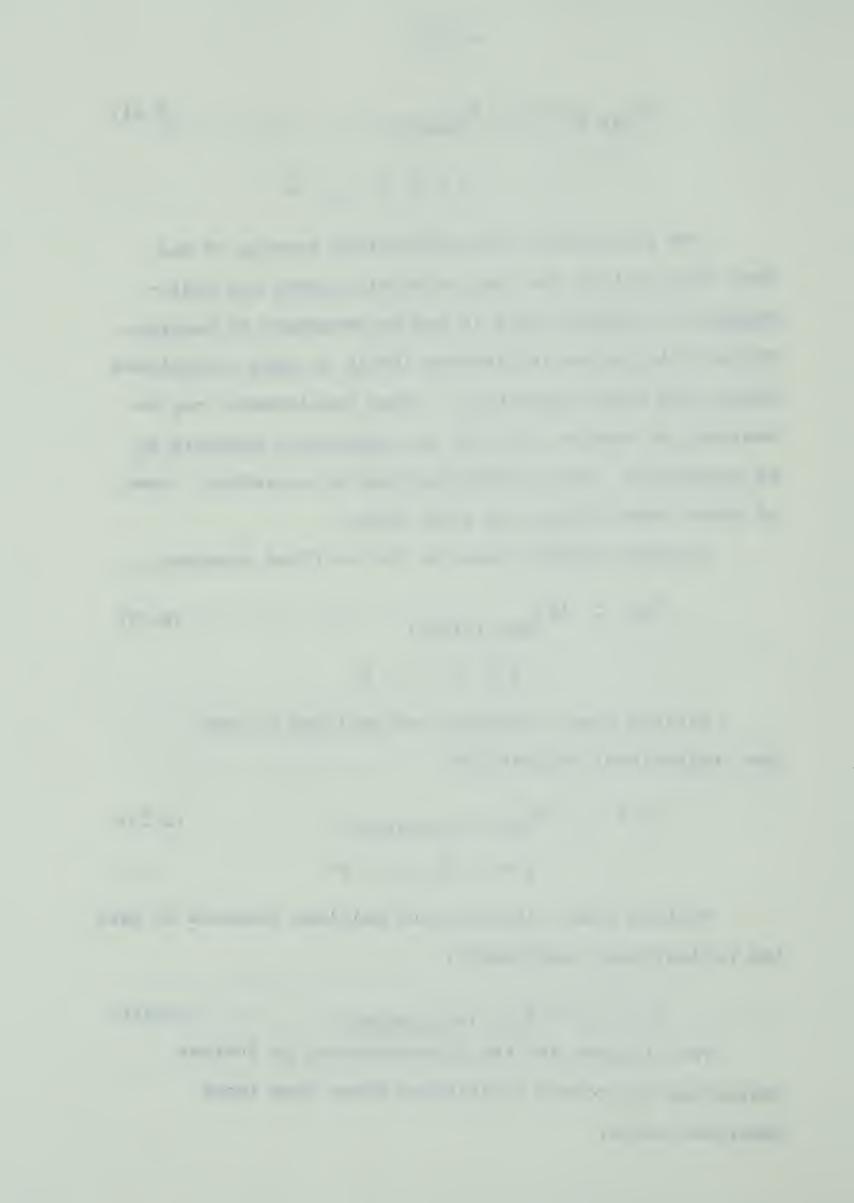
$$q_j + \sigma_j \ge q_{j_{min} \text{ (Irrigation)}}$$

$$j = 1, 2, \dots, N$$
(2.23)

Minimum plant discharge and spillage required to meet the navigational commitments,

$$q_j + \sigma_j \ge q_{j_{min} \text{ (Navigation)}}$$
 (2.24)

The storages and the discharges may be further restricted by project limitations other than those described above.



CHAPTER III

SCHEDULING EQUATIONS FOR A HYDRO-THERMAL SYSTEM - HYDRO-PLANTS ON SEPARATE STREAMS

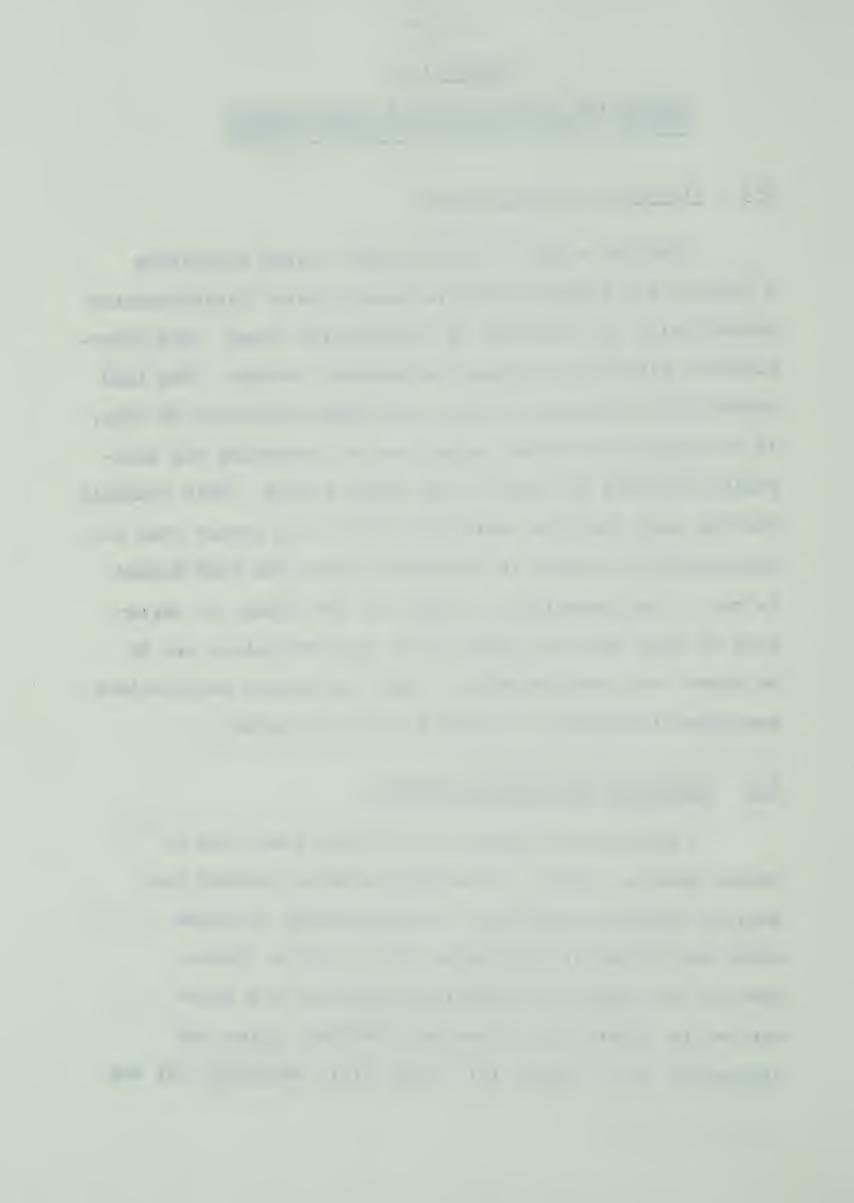
3-1 Statement of The Problem

M thermal and N hydro-electric power plants, interconnected electrically by a network of transmission lines. The hydro-electric plants are located on separate streams. The load demand and the natural inflows are known functions of time. It is required to obtain equations for computing the generation schedule for each of the power plants. This schedule must be such that the operating cost of the system over the optimization interval is minimized, while the load demand is met. The transmission losses and the effect of variation of head upon the hydro-plant characteristics are to be taken into consideration. Also, the system restrictions explained in section 2-5 should not be violated.

3-2 Review of the Current Methods

A considerable amount of work has been done to obtain general methods and equations which provide the desired economic schedules. A large number of these works are listed in references [1,2] of this thesis.

Some of the important scheduling equations are those derived by Ricard [12], Chandler, Dandeno, Glimn and Kirchmayer [13], Cypser [5], Carey [19], Watchcorn [4] and



Glimn and Kirchmayer [14]. It has been shown by Glimn and Kirchmayer [14] and Arismunandar [1] that these equations are essentially equivalent to each other.

In terms of the symbols adopted in this thesis, Glimn and Kirchmayer [14] equations are,

(i) For the ith thermal plant,

$$\frac{\partial C_{T}}{\partial P_{Ti}} + \lambda \frac{\partial P_{L}}{\partial P_{Ti}} = \lambda$$
 (3.1)

$$i = 1, 2, ..., M$$

(ii) For the jth hydro-electric plant,

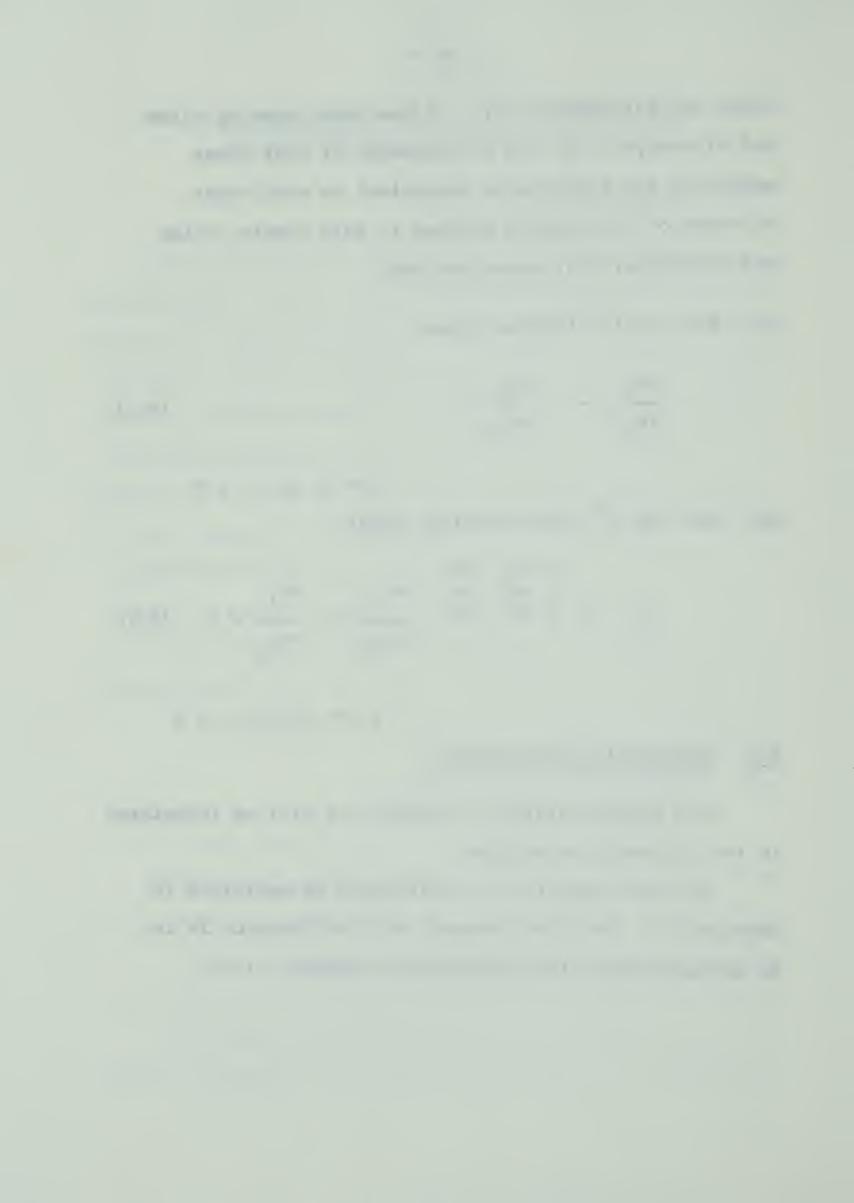
$$\gamma_{j0}$$
 e
$$\int_{0}^{t} \frac{\partial q_{j}}{\partial h_{j}} \frac{dt}{Aj} \frac{\partial q_{j}}{\partial P_{Hj}} + \lambda \frac{\partial P_{L}}{\partial P_{Hj}} = \lambda \quad (3.2)$$

$$j = 1, 2, ..., N$$

3-3 Mathematical Formulation

The problem stated in section 3-1 will be formulated in the following paragraphs.

The cost function is constructed as explained in section 2-3. The time integral of this function is to be minimized over the optimization interval, i.e.,



$$I = \int_{0}^{T} C_{T} (P_{T1}, P_{T2}, ..., P_{TM}, t) dt$$
 (3.3)

is to be minimized, subject to the following constraints:

(i) The total generation of the system must be equal to the load demand plus the transmission losses in the system, i.e.,

This equation can be rewritten as,

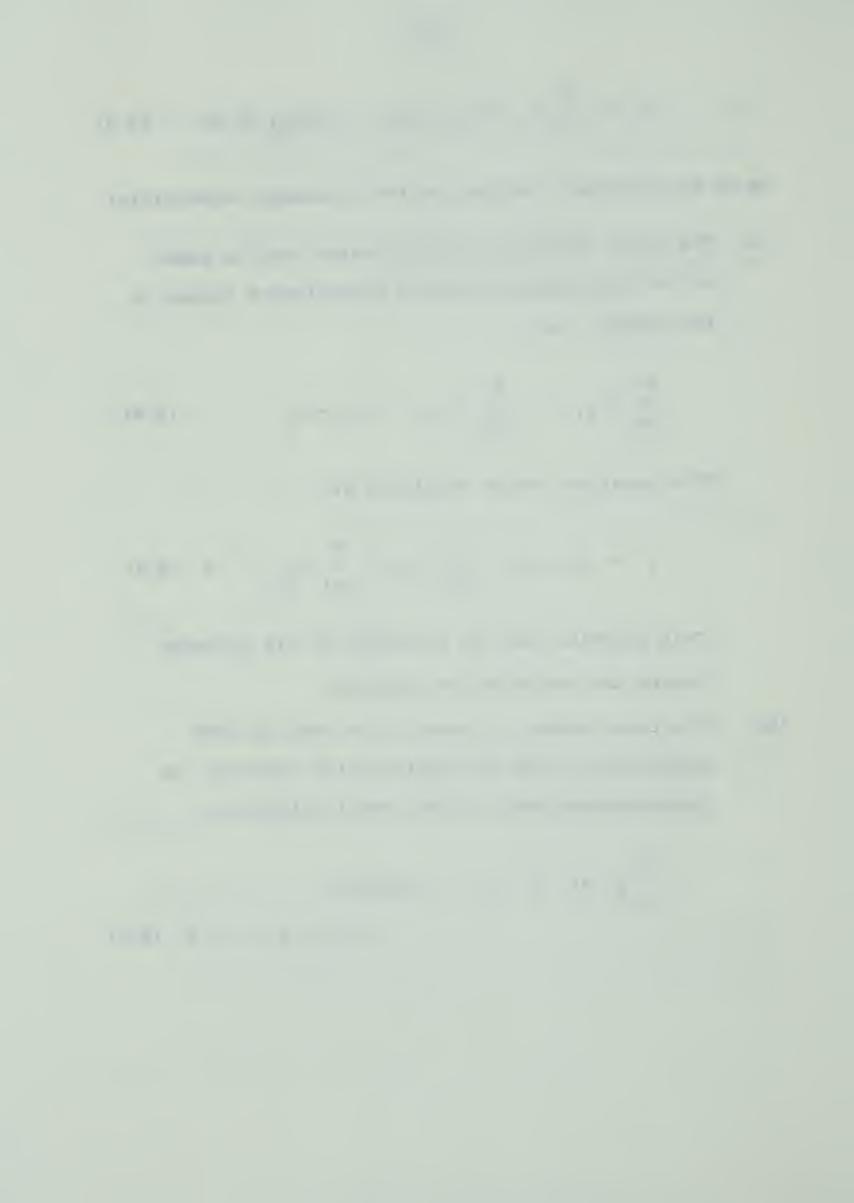
$$\phi = P_{D} + P_{L} - \sum_{i=1}^{M} P_{Ti} - \sum_{j=1}^{N} P_{Hj} = 0 \quad (3.5)$$

This equation must be satisfied at all instants during the optimization interval.

(ii) The total amount of water to be used by each hydro-plant, over the optimization interval, is predetermined and limited, and is given by,

$$\int_{0}^{T} q_{j} dt = Q_{j} = constant$$

$$j = 1, 2, ..., N \quad (3.6)$$



(iii) Various design and functional limitations (explained in detail in section 2-5) when combined together, result in putting upper and lower limits on the storages, discharges and the power outputs of the hydro-electric power plants. These can be expressed as follows: Discharge limits:

$$\underline{q}_{j} \leq \underline{q}_{j} \leq \overline{q}_{j}$$
 (3.7)

 \underline{q}_j and \overline{q}_j represent the lower and upper limits on q_j .

Storage limits:

$$\frac{\mathbf{s}_{j}}{\mathbf{s}_{j}} \leq \mathbf{s}_{j} \leq \overline{\mathbf{s}}_{j}$$

$$j = 1, 2, ..., N$$
(3.8)

The limits on storages and discharges result in limiting the power output of the hydro-electric plants. These can be expressed as,

$$\underline{P}_{Hj} \leq P_{Hj} \leq \overline{P}_{Hj}$$

$$j = 1, 2, ..., N$$
(3.9)

Hence I in (3.3) is to be minimized subject to the constraints given by equations (3.5), (3.6), (3.7), (3.8) and (3.9). The two-sided inequality constraints given by (3.7), (3.8) and (3.9) can be handled by transforming

them into equality constraints [9]. This is done by introducing a new variable for each of the inequality constraints. Such manipulations will increase the number of variables of the system considerably (which ultimately will increase the number of Euler equations for the system by the same number). For this reason these constraints are not considered while formulating the problem.

For the variational formulation, only the constraint given by equation (3.5) will be considered. The minimization of I subject to this constraint is a Lagrange problem in the Calculus of Variations. The problem is solved by replacing the cost function by an augmented function H [7,9], defined by,

$$H = C_{T} + \lambda \phi \qquad (3.10)$$

That is,

$$H = C_{T} + \lambda \left[P_{D} + P_{L} - \sum_{i=1}^{M} P_{Ti} - \sum_{j=1}^{N} P_{Hj}\right]$$
 (3.11)

where λ is a Lagrange multiplier.

 $\rm C_T$ is given by equation (2.15), while $\rm P_L$ and $\rm P_{Hj}$ are defined by equations (2.16) and (2. 7) respectively. Thus, it can be seen that H is a function of the type,

$$H = H(P_{T1}, P_{T2}, ..., P_{TM}, s_1, s_2, ..., s_N,$$

$$\dot{s}_{1}, \dot{s}_{2}, \ldots, \dot{s}_{N}, \lambda, t)$$
 (3.12)

Hence, for maximum economy, minimize

$$J = \int_{0}^{T} H(P_{T1}, P_{T2}, \dots, P_{TM}, s_{1}, s_{2}, \dots, s_{N}, s_{N}, s_{1}, s_{2}, \dots, s_{N}, s_{N},$$

The extremal arcs are obtained by solving the Euler equations for the system. Also, for any extremal to be admissible, the constraints given by equations (3.5), (3.6), (3.7), (3.8) and (3.9) must be satisfied. In order to investigate whether the functional J attained a minimum, it would be desirable to check that the other necessary and sufficient conditions are satisfied [1, 8]. However, it is difficult to do so in practice.

Thus the scheduling equations for the power plants are given by the Euler equations for the system.

The Euler equations for the system are:

(i) For the ith thermal plant,

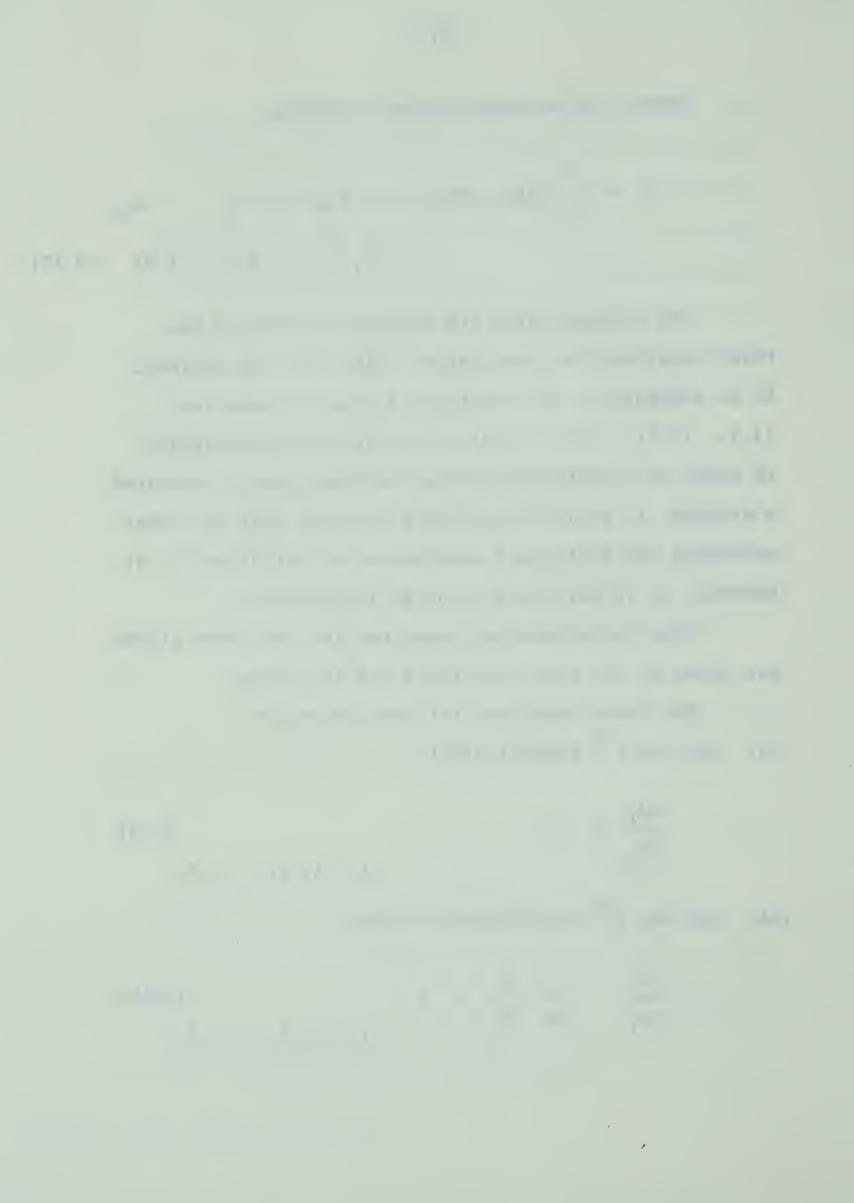
$$\frac{\partial H}{\partial P_{Ti}} = 0 \qquad (3.14)$$

$$i = 1, 2, \dots, M$$

(ii) For the jth hydro-electric plant,

$$\frac{\partial H}{\partial s_{j}} - \frac{d}{\partial t} \frac{\partial H}{\partial \dot{s}_{j}} = 0$$

$$j = 1, 2, ..., N$$
(3.15)



The Euler equation for the hydro-electric plants can be transformed into a more convenient form, as shown in the following section.

3-4 Euler Equation in the Modified Form

The coordinating equations for the hydro-electric plants are the Euler equations. These equations are put into a more convenient integro-differential form.

The scheduling equation for the jth hydro-electric plant is,

$$\frac{\partial H}{\partial s_{j}} - \frac{d}{dt} \frac{\partial H}{\partial \dot{s}_{j}} = 0$$
 (3.16)

or

$$\frac{\partial H}{\partial s} = \frac{d}{dt} \frac{\partial H}{\partial \dot{s}}$$
(3.17)

Let us define

$$Z_{j}(t) = \frac{\partial H}{\partial s_{j}} / \frac{\partial H}{\partial \dot{s}_{j}}$$
 (3.18)

only when $\frac{\partial H}{\partial \dot{s}_{\dot{1}}}$ is not equal to zero.

Note that in this thesis it is assumed that $\frac{\partial P}{\partial \dot{s}_j}$ is non-zero at all times.

Hence

$$\frac{\partial H}{\partial \dot{s}_{j}} = \frac{\partial H}{\partial \dot{s}_{j}} \cdot Z_{j}(t) \tag{3.19}$$



Now, from equations (3.17) and (3.19), we get

$$\frac{\partial H}{\partial \dot{s}_{j}} \cdot Z_{j}(t) = \frac{d}{dt} \left(\frac{\partial H}{\partial \dot{s}_{j}} \right)$$
 (3.20)

or

$$Z_{j}(t) dt = \frac{d \left[\frac{\partial H}{\partial \dot{s}_{j}}\right]}{\left[\frac{\partial H}{\partial \dot{s}_{j}}\right]}$$
(3.21)

Integrating both sides, we get

$$\int_{0}^{t} z_{j}(t) dt = \log_{e} \left(\frac{\partial H}{\partial \dot{s}_{j}}\right) + K_{j}$$
 (3.22)

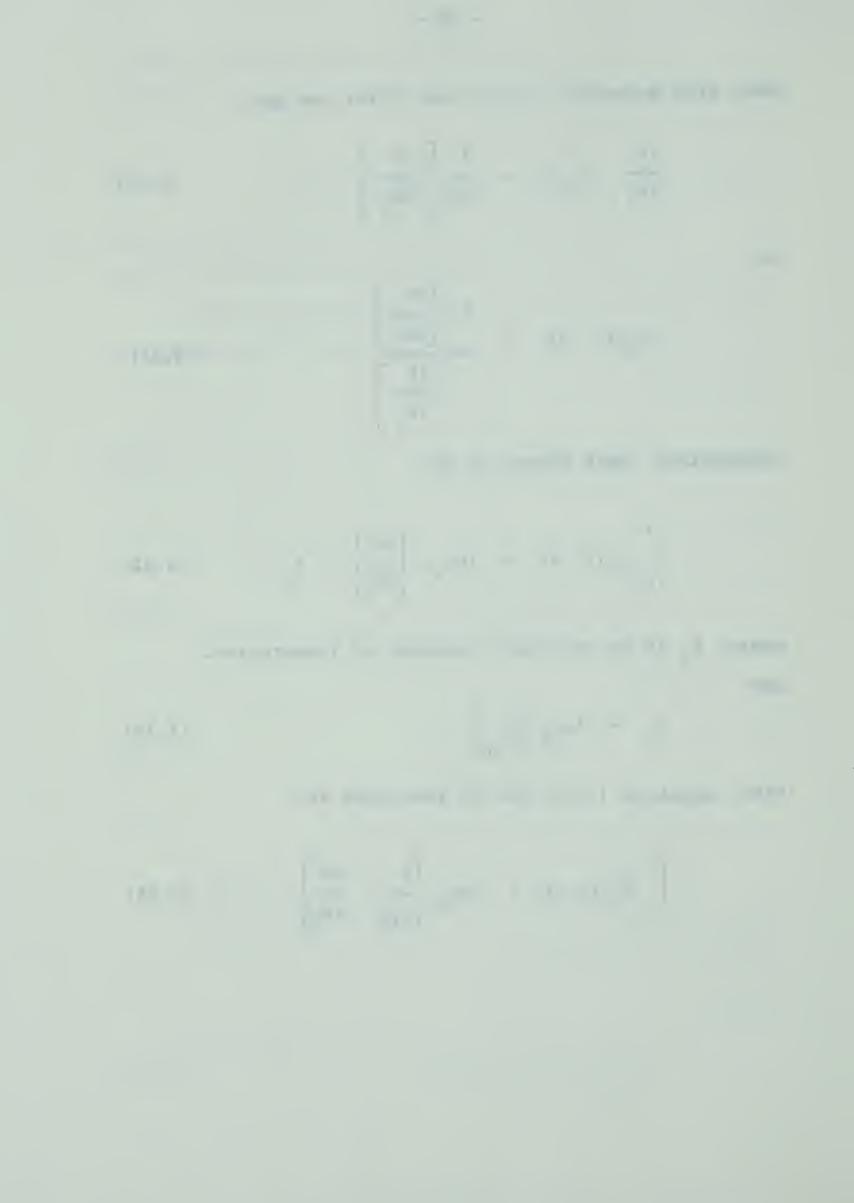
where, K; is an arbitrary constant of integration.

Let

$$K_{j} = \log_{e} \left[\frac{1}{\gamma}_{j0} \right]$$
 (3.23)

then, equation (3.22) can be rewritten as,

$$\int_{0}^{t} z_{j}(t) dt = \log_{e} \left(\frac{1}{\gamma_{j0}} \cdot \frac{\partial H}{\partial s_{j}} \right)$$
 (3.24)



$$\frac{1}{\gamma_{j0}} \cdot \frac{\partial H}{\partial \dot{s}_{j}} = e^{\int_{0}^{T} Z_{j}(t) dt}$$
(3.25)

or

$$\frac{\partial H}{\partial \dot{s}_{j}} = \gamma_{j0} \cdot e^{\int_{0}^{t} Z_{j}(t) dt}$$
(3.26)

where, $Z_{j}(t)$ is defined by equation (3.18) and γ_{j0} is an arbitrary constant.

This equation is equivalent to the Euler equation and will be called the "modified" Euler equation in the remainder of this thesis.

3-5 Derivation of the Scheduling Equations

The scheduling equations for the thermal and the hydro-electric plants of the system are given by equations (314) and (3.15) respectively.

(i) For the ith thermal plant, the scheduling equation is,

$$\frac{\partial H}{\partial P_{Ti}} = 0$$

where H is defined by equation (3.11). Hence, we get

$$\frac{\partial C_{T}}{\partial P_{Ti}} + \lambda \frac{\partial P_{L}}{\partial P_{Ti}} - \lambda = 0$$
(3.27)

$$\frac{\partial C_{T}}{\partial P_{Ti}} + \lambda \frac{\partial P_{L}}{\partial P_{Ti}} = \lambda$$
 (3.28)

(ii) For the hydro-electric plants, the scheduling equations are obtained by using the "modified" Euler equation given by equation (3.26).

Hence, the scheduling equation for the jth hydroelectric plant is:

$$\frac{\partial H}{\partial \dot{s}_{j}} = \gamma_{j0} \cdot e^{\int_{0}^{t} Z_{j}(t) dt}$$
(3.29)

where, $Z_{i}(t)$ is given by equation (3.18).

 $_{\rm H}$ is defined by the equation (3.11), while $\rm P_{L}$ and $\rm P_{Hj}$ are given by equations (2.16) and (2.7) respectively.

Now taking the partial derivative of H with respect to s_j and substituting into equation (3.26), we get

$$\lambda \left[\frac{\partial P_{L}}{\partial \dot{s}_{j}} - \frac{\partial P_{Hj}}{\partial \dot{s}_{j}} \right] = \gamma_{j0} e^{\int_{0}^{t} Z_{j}(t) dt}$$
(3.30)

But,

$$\frac{\partial^{P}L}{\partial \dot{s}_{j}} = \frac{\partial^{P}L}{\partial^{P}Hj} \cdot \frac{\partial^{P}Hj}{\partial \dot{s}_{j}}$$
(3.31)

and putting,

$$\gamma_{j0} = \begin{cases} t \\ 0 \end{cases} z_{j}(t) dt = \gamma_{j}(t)$$
 (3.32)

in equation (3.30), we get,

$$\lambda \left[\frac{\partial P_{L}}{\partial P_{Hj}} \cdot \frac{\partial P_{Hj}}{\partial \dot{s}_{j}} - \frac{\partial P_{Hj}}{\partial \dot{s}_{j}} \right] = \gamma_{j}(t) \qquad (3.33)$$

or

$$\lambda \frac{\partial P_{Hj}}{\partial \dot{s}_{j}} \begin{bmatrix} \frac{\partial P_{L}}{\partial P_{Hj}} & -1 \\ \end{bmatrix} = \gamma_{j}(t)$$
 (3.34)

or

$$\lambda \left[\frac{\partial P_{L}}{\partial P_{Hj}} - 1 \right] = \gamma_{j}(t) \cdot \frac{1}{\frac{\partial P_{Hj}}{\partial \dot{s}_{j}}}$$
(3.35)

Now, consider

$$\frac{\partial \dot{s}_{j}}{\partial P_{Hj}} = \frac{1}{\partial P_{Hj}}$$

$$\frac{\partial \dot{s}_{j}}{\partial \dot{s}_{j}}$$
(3.36)

This equation is true if the derivatives $\frac{\partial P_{Hj}}{\partial \dot{s}_{j}}$ and exist and are non-zero. A proof is given by $\frac{\partial P_{Hj}}{\partial \dot{s}_{j}}$

Glimn and Kirchmayer [14].

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Hence, equation (3.35) can be rewritten as,

$$\lambda \left[\frac{\partial P_{L}}{\partial P_{Hj}} - 1 \right] = \gamma_{j}(t) \cdot \frac{\partial \dot{s}_{j}}{\partial P_{Hj}}$$
 (3.37)

Rearranging the terms, we get

$$\gamma_{j}(t) \cdot \left[-\frac{\partial \dot{s}_{j}}{\partial P_{Hj}} \right] + \lambda \frac{\partial P_{L}}{\partial P_{Hj}} = \lambda$$
 (3.38)

 γ_j (t) is given by equation (3.32), while Z_j (t) is given by equation (3.18) as,

$$Z_{j}(t) = \frac{\frac{\partial H}{\partial s_{j}}}{\frac{\partial H}{\partial s_{j}}}$$

In order to evaluate Z_j (t), the values of $\frac{\partial H}{\partial s_j}$ and $\frac{\partial H}{\partial \dot{s}_j}$ are to be obtained.

Taking the partial derivative of H with respect to s_j , we get

$$\frac{\partial H}{\partial s_{j}} = \lambda \left[\frac{\partial P_{L}}{\partial s_{j}} - \frac{\partial P_{Hj}}{\partial s_{j}} \right]$$
 (3.39)

but,

$$\frac{\partial P_{L}}{\partial s_{j}} = \frac{\partial P_{L}}{\partial P_{Hj}} \cdot \frac{\partial P_{Hj}}{\partial s_{j}}$$
 (3.40)

hence

$$\frac{\partial H}{\partial s_{j}} = \lambda \begin{bmatrix} \frac{\partial P_{L}}{\partial P_{Hj}} & \frac{\partial P_{Hj}}{\partial s_{j}} & \frac{\partial P_{Hj}}{\partial s_{j}} \end{bmatrix}$$
(3.41)

or

$$\frac{\partial H}{\partial s_{j}} = \lambda \frac{\partial P_{Hj}}{\partial s_{j}} \begin{bmatrix} \frac{\partial P_{L}}{\partial P_{Hj}} & -1 \end{bmatrix}$$
 (3.42)

Now, taking the partial derivative of H with respect to \dot{s}_{i} , we obtain

$$\frac{\partial H}{\partial \dot{s}_{j}} = \lambda \left[\frac{\partial P_{L}}{\partial \dot{s}_{j}} - \frac{\partial P_{Hj}}{\partial \dot{s}_{j}} \right]$$
(3.43)

Substituting for $\frac{\partial P_L}{\partial \dot{s}_j}$ from equation (3.31) in equation (3.43) and rearranging the terms, we get

$$\frac{\partial H}{\partial \dot{s}_{j}} = \lambda \frac{\partial P_{Hj}}{\partial \dot{s}_{j}} \left[\frac{\partial P_{L}}{\partial P_{Hj}} - 1 \right]$$
 (3.44)

Now, from equations (3.42), (3.44) and (3.18) we obtain

$$z_{j}(t) = \frac{\lambda \frac{\partial P_{Hj}}{\partial s_{j}} \left[\frac{\partial P_{L}}{\partial P_{Hj}} - 1 \right]}{\lambda \frac{\partial P_{Hj}}{\partial \dot{s}_{j}} \left[\frac{\partial P_{L}}{\partial P_{Hj}} - 1 \right]}$$
(3.45)

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$$Z_{j}(t) = \frac{\frac{\partial P_{Hj}}{\partial s_{j}}}{\frac{\partial P_{Hj}}{\partial \dot{s}_{j}}}$$
(3.46)

Hence from equations (3.38), (3.32) and (3.46), we get the scheduling equation for the jth hydro-electric plant as,

$$\gamma_{j0} \cdot e^{\int_{0}^{t} \left[\frac{\partial P_{Hj}}{\partial s_{j}} / \frac{\partial P_{Hj}}{\partial \dot{s}_{j}}\right]} dt \cdot \left[-\frac{\partial \dot{s}_{j}}{\partial P_{Hj}}\right] + \lambda \frac{\partial P_{L}}{\partial P_{Hj}} = \lambda$$

$$(3.47)$$

3-6 Comparison with the Scheduling Equations Derived by Glimn and Kirchmayer [14]

Their scheduling equation for the ith thermal plant is given by equation (3.1). This is identical to that derived in this thesis (given by equation (3.28)).

For the jth hydro-electric plant, their scheduling equation is given by equation (3.2).

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Also, they have shown in their paper [14] that

$$\frac{\partial q_{j}}{\partial h_{j}} = \frac{\frac{\partial P_{H,j}}{\partial h_{j}}}{\frac{\partial P_{H,j}}{\partial q_{j}}}$$
(3.48)

Thus, equation (3.2) can be rewritten as,

$$\gamma_{j0} = \int_{0}^{t} \left[\frac{\partial P_{Hj}}{\partial h_{j}} - \frac{\partial P_{Hj}}{\partial q_{j}} \right] \frac{dt}{Aj} \cdot \frac{\partial q_{j}}{\partial P_{Hj}} + \lambda \frac{\partial P_{L}}{\partial P_{Hj}} = \lambda \quad (3.49)$$

Now, comparing the equations (3.47) and (3.49), we find that the equations are identical only if the following relations are true:

$$-\frac{\partial \dot{s}_{j}}{\partial P_{Hj}} = \frac{\partial q_{j}}{\partial P_{Hj}}$$
 (3.50)

and,

$$\frac{1}{A_{j}} \cdot \frac{\partial P_{Hj}}{\partial h_{j}} = \frac{\partial P_{Hj}}{\partial s_{j}}$$
 (3.51)

The discharge of a plant can be represented as the difference between the natural inflow and the rate of change of storage in the plant's reservoir, i.e.,

$$q_{j} = F_{j} - \dot{s}_{j} \qquad (3.52)$$

also, we know that,

$$P_{H\dot{1}} = P_{H\dot{1}}(q_{\dot{1}}, h_{\dot{1}}, t)$$
 (3.53)

hence, it can be concluded that both q_j and $(F - \dot{s}_j)$ are functions of P_{Hj} and h_j . F_j is an uncontrollable variable and is also independent of P_{Hj} . By taking the partial derivatives of both sides of equation (3.52), we get

$$\frac{\partial q_{j}}{\partial P_{Hj}} = -\frac{\partial \dot{s}_{j}}{\partial P_{Hj}}$$
 (3.54)

Hence, equation (3.50) is true.

Furthermore, if the tail race elevation and the losses in the conduits are taken to be constant, and if the surface area of the reservoir of the jth hydroelectric plant, A_j, is a constant, then the net head at the plant can be written as,

$$h_{j} = \frac{s_{j}}{A_{j}} + K_{lj}$$
 (3.55)

where K_{lj} is a constant. Again,

$$P_{Hj} = P_{Hj} (q_j, h_j, t)$$

$$= P_{Hj} [q_j, (\frac{s_j}{A_j} + K_{lj}), t] (3.56)$$

Therefore

$$\frac{\partial P_{Hj}}{\partial h_{j}} = A_{j} \frac{\partial P_{Hj}}{\partial sj}$$
 (3.57)



$$\frac{1}{A_{j}} \frac{\partial P_{Hj}}{\partial h_{j}} = \frac{\partial P_{Hj}}{\partial s_{j}}$$
 (3.58)

Therefore, equation (3.51) is true.

Thus, the scheduling equation (3.47), derived in this thesis for the jth hydro-plant, is equivalent to that of Glimn and Kirchmayer [14].

CHAPTER IV

SCHEDULING EQUATIONS FOR A HYDRO-THERMAL SYSTEM - SOME OF THE HYDRO-PLANTS LOCATED ON COMMON STREAMS

4-1 Statement of the Problem

The power system under study consists of M thermal and N hydro-electric power plants, interconnected electrically by a net-work of transmission lines. For simplicity, it is assumed that all except two hydro-plants are located on separate streams. Let the $k^{\rm th}$ and $k\!+\!1^{\rm st}$ be the two hydro-plants located on the same stream. We assume that the load demand and the natural inflows are known functions of time. The time taken by the water to flow from the upstream plant to the downstream plant is τ . It is desired to obtain the scheduling equations, such that the most economical generation schedule can be obtained.

4-2 Review of Current Methods

In 1941, Burr [20] made an attempt to develop a general method for determining the most economical loading of the common-flow hydro-electric plants.

Using the Steepest Descent method, Cypser [5] solved the Euler equations for a system in which the two hydro-electric plants are located on the same stream. Menon [15] solved the common-flow problem by constructing a



series of minimizing sequences. Kirchmayer [18] extended the incremental cost technique to the hydroplants located on common water-shed. The hydro-plants were treated as constant head plants. Drake, Kirchmayer, Mayall and Wood [21] used that method to coordinate the expenditure of the steam and hydro-electric resources of the South California Edision Company. The effect of variation of head upon the hydro-plant characteristics was not taken into consideration.

4-3 Mathematical Formulation

The problem stated in section 4-1 is similar in nature to that stated in section 3-1. The only difference is that the kth and k+1st hydro-plants are located on the same stream. The following analysis is quite general in nature and can be extended to a system in which more than two hydro-plants may be located on the same stream.

The cost function for the system is constructed as explained in section 2-3. The time integral of this function is to be minimized over the optimization interval. That is, we minimize

$$I = \int_{0}^{T} C_{T} (P_{T1}, P_{T2}, ..., P_{TM}, t) dt$$
 (4.1)

subject to the constraints listed in section 2-5.

The only constraint which will be considered here is that the total generation of the system must be equal to the load demand plus the transmission losses in the system, that is,

or

$$\phi = P_D + P_L - \sum_{i=1}^{M} P_{Ti} - \sum_{i=1}^{N} P_{Hj} \equiv 0 \qquad (4.3)$$

However, for any optimal solution to be admissible all other constraints described in section 2-5 must be taken into account.

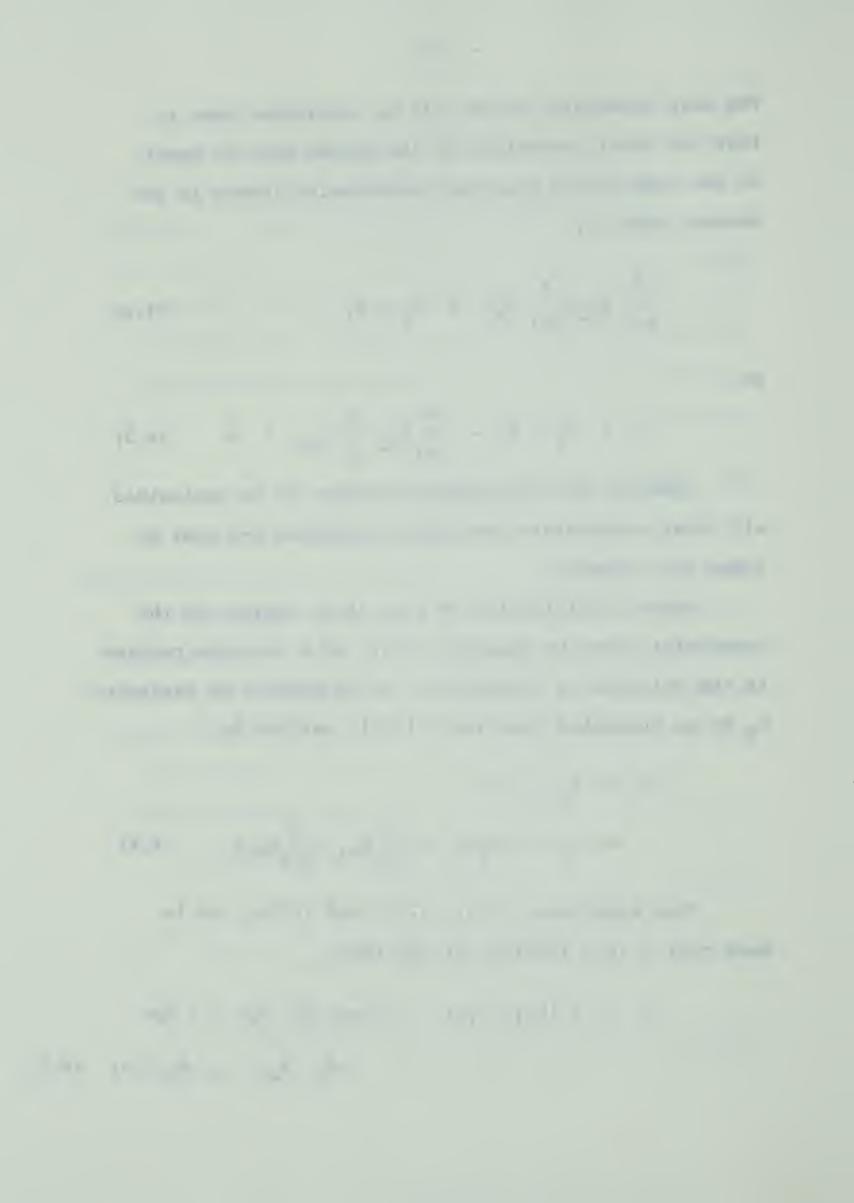
Again, minimization of I in (4.1) subject to the constraint given by equation (4.3), is a Lagrange problem in the Calculus of Variations. It is handled by replacing $C_{\mathbf{T}}$ by an augmented function H [7,9], defined by,

$$H = C_{T} + \lambda \phi$$

$$= C_{T} + \lambda (P_{D} + P_{L} - \sum_{i=1}^{M} P_{Ti} - \sum_{j=1}^{N} P_{Hj}) \qquad (4.4)$$

From equations (2.16), (2.7) and (2.11), it is seen that H is a function of the type,

$$H = H (P_{T1}, P_{T2}, ..., P_{TM}, s_1, s_2, ..., s_N, \\ \dot{s}_1, \dot{s}_2, ..., \dot{s}_N, \lambda, \tau)$$
(4.5)



Now, for maximum egonomy, we minimize

$$J = \begin{bmatrix} T \\ H \cdot dt \\ 0 \end{bmatrix}$$
 (4.6)

The optimal solution is obtained by solving the Euler equations for the system. The Euler equations for the system are,

$$\frac{\partial H}{\partial P_{Ti}} = 0 \qquad (4.7)$$

$$i = 1, 2, ..., N$$

and

$$\frac{\partial H}{\partial s_{j}} - \frac{d}{dt} \frac{\partial H}{\partial \dot{s}_{j}} = 0$$

$$\dot{j} = 1, 2, \dots, N$$
(4.8)

Equation (4.8) can be transformed into a more convenient form as given by equation (3.26) and will be used for obtaining the required scheduling equations.

4-4 Derivation of the Scheduling Equations

The scheduling equations for thermal and the hydro-electric plants are obtained by using equations (4.7) and (3.26) respectively.

(a) Taking the partial derivative of H (defined by equation (4.4)) with respect to P_{Ti} and substituting into equation (4.7), we get,

$$\frac{\partial C_{\mathbf{T}}}{\partial P_{\mathbf{T}i}} + \lambda \frac{\partial P_{\mathbf{L}}}{\partial P_{\mathbf{T}i}} - \lambda = 0 \qquad (4.9)$$

or

$$\frac{\partial C_{T}}{\partial P_{Ti}} + \lambda \frac{\partial P_{L}}{\partial P_{Ti}} = \lambda \qquad (4.10)$$

This equation is identical to that for the ith thermal plant of the system discussed in Chapter-3.

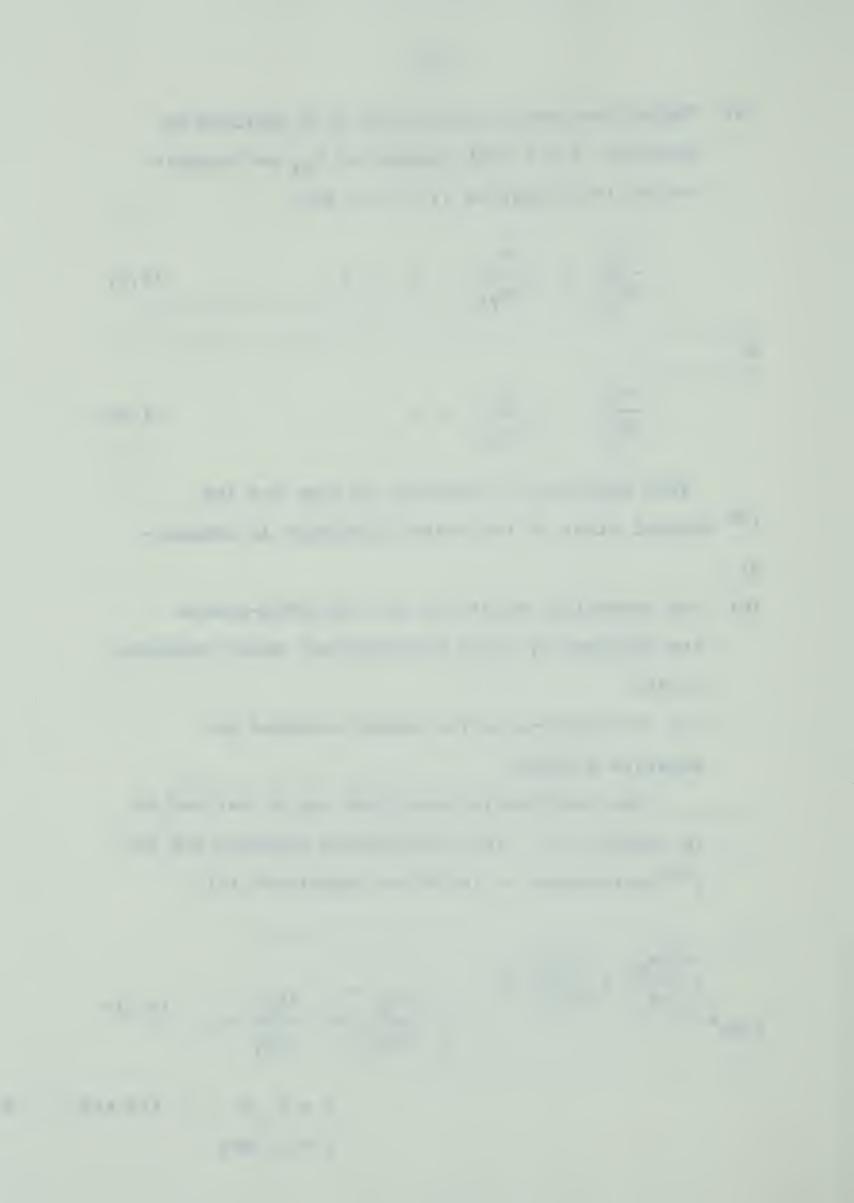
- (b) The scheduling equations for the hydro-plants are obtained by using the "modified" Euler equation (3.26).
 - (i) The hydro-electric plants situated on separate streams:

The coordinating equations can be derived as in section 3-5. The coordinating equation for the $j^{\mbox{th}}$ hydro-plant is (refer to equation (3.47)).

$$\gamma_{j0} e^{\int_{0}^{t} \left[\frac{\partial P_{Hj}}{\partial s_{j}} / \frac{\partial P_{Hj}}{\partial \dot{s}_{j}}\right] dt} \cdot \left[-\frac{\partial \dot{s}_{j}}{\partial P_{Hj}}\right] + \lambda \frac{\partial P_{L}}{\partial P_{Hj}} = \lambda$$

$$j = 1, 2, ..., k-1, k+2, ..., N$$

$$j \neq k, k+1$$



(ii) The downstream, $k+1^{st}$, hydro-electric plant: The coordinating equation is obtained by taking the partial derivatives of H with respect to s_{k+1} and \dot{s}_{k+1} and substituting them in the modified Euler equation (3.26). Hence, the scheduling equation for the hydro-plant is,

$$\lambda \left[\frac{\partial P_L}{\partial \dot{s}_{k+1}} - \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k+1}} \right] = \gamma_{(k+1)0} \cdot e^{\int_0^t Z_{k+1}(t) dt}$$
(4.12)

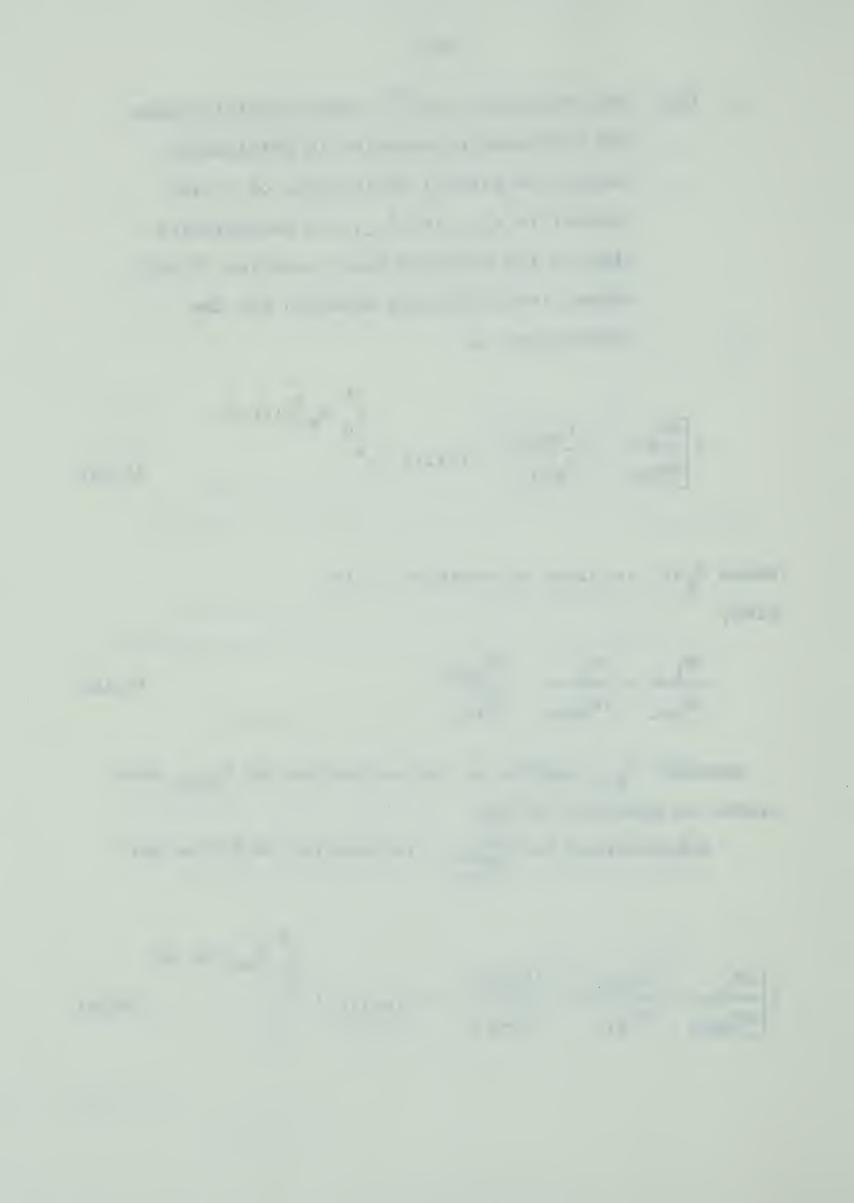
where Z_k (t) is given by equation (3.18). Also,

$$\frac{\partial P_{L}}{\partial \dot{s}_{k+1}} = \frac{\partial P_{L}}{\partial P_{Hk+1}} \cdot \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k+1}}$$
(4.13)

because \dot{s}_{k+1} appears in the expression for P_{Hk+1} only (refer to equation (2.11)).

Substituting for $\frac{\partial P_L}{\partial \dot{s}_{k+1}}$ in equation (4.12) we get

$$\lambda \left[\frac{\partial P_{L}}{\partial P_{Hk+1}} \cdot \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k+1}} - \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k+1}} \right] = \gamma_{(k+1)0} \cdot e^{\int_{0}^{t} Z_{k+1}(t) dt}$$
(4.14)



$$\lambda \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk+1}} - 1 \right] = \gamma_{(k+1)0} e^{\int_{0}^{t} Z_{k+1}(t)} dt$$
(4.15)

also, it can be shown [14] that

$$\frac{\partial P_{Hk+1}}{\partial \dot{s}_{k+1}} = \frac{\frac{1}{\partial \dot{s}_{k+1}}}{\partial P_{Hk+1}}$$
(4.16)

Hence, equation (4.15) can be rewritten as,

$$\int_{0}^{t} z_{k+1}(t) dt$$

$$\int_{0}^{t} z_{k+1}(t) dt$$

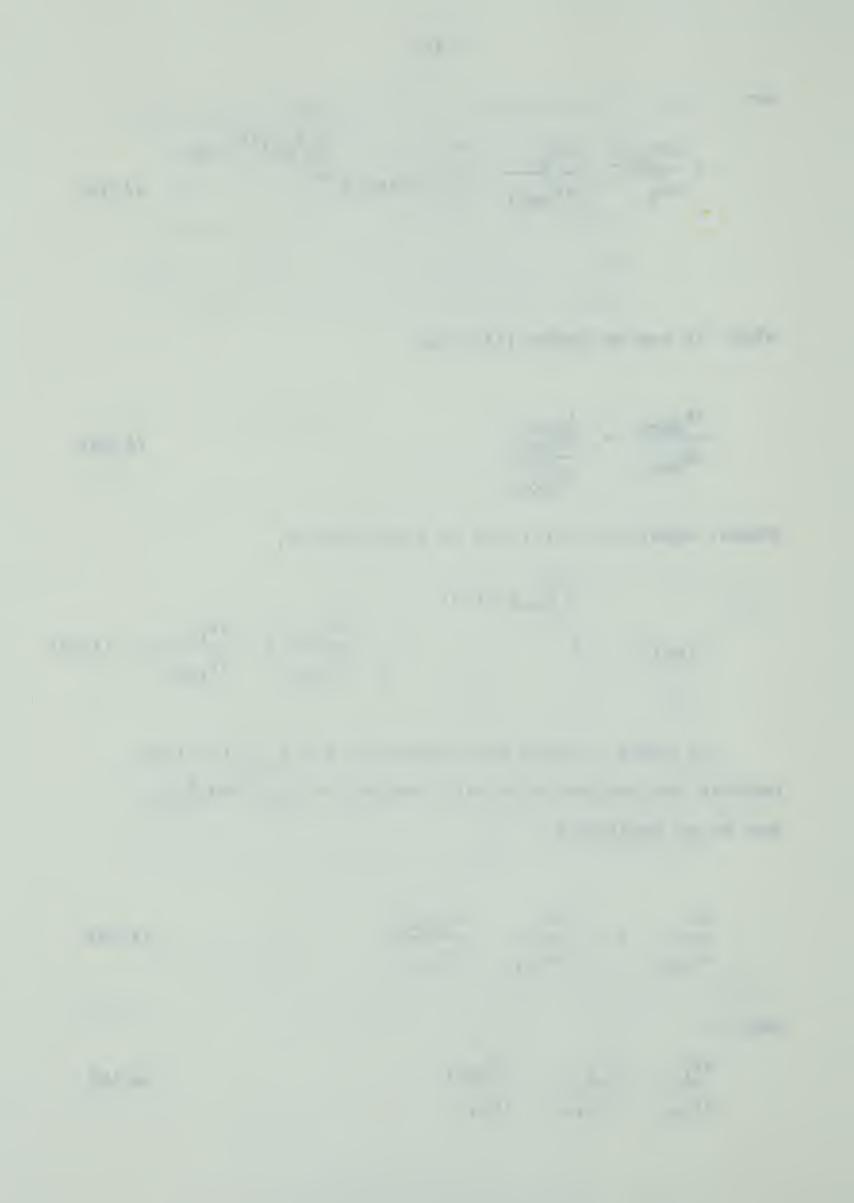
$$\cdot \left[-\frac{\partial \dot{s}_{k+1}}{\partial P_{Hk+1}} + \lambda \frac{\partial P_{L}}{\partial P_{Hk+1}} = \lambda (4.17) \right]$$

In order to find the expression for $\mathbf{Z}_{k+1}(t)$, the partial derivatives of H with respect to \mathbf{s}_{k+1} and $\dot{\mathbf{s}}_{k+1}$ are to be evaluated.

$$\frac{\partial H}{\partial s_{k+1}} = \lambda \left[\begin{array}{cc} \frac{\partial P_L}{\partial s_{k+1}} - \frac{\partial P_{Hk+1}}{\partial s_{k+1}} \\ \end{array} \right]$$
 (4.18)

but,

$$\frac{\partial P_{L}}{\partial s_{k+1}} = \frac{\partial P_{L}}{\partial P_{Hk+1}} \cdot \frac{\partial P_{Hk+1}}{\partial s_{k+1}}$$
(4.19)



Hence, substituting (4.19) into (4.17) we get

$$\frac{\partial H}{\partial s_{k+1}} = \lambda \frac{\partial P_{Hk+1}}{\partial s_{k+1}} \begin{bmatrix} \frac{\partial P_{L}}{\partial s_{k+1}} & -1 \end{bmatrix}$$
(4.20)

Similarly, we obtain

$$\frac{\partial H}{\partial \dot{s}_{k+1}} = \lambda \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k+1}} \left[\frac{\partial P_{L}}{\partial \dot{s}_{k+1}} - 1 \right]$$
(4.21)

Substituting for $\frac{\partial H}{\partial s_{k+1}}$ and $\frac{\partial H}{\partial \dot{s}_{k+1}}$ in equation (3.18),

we get

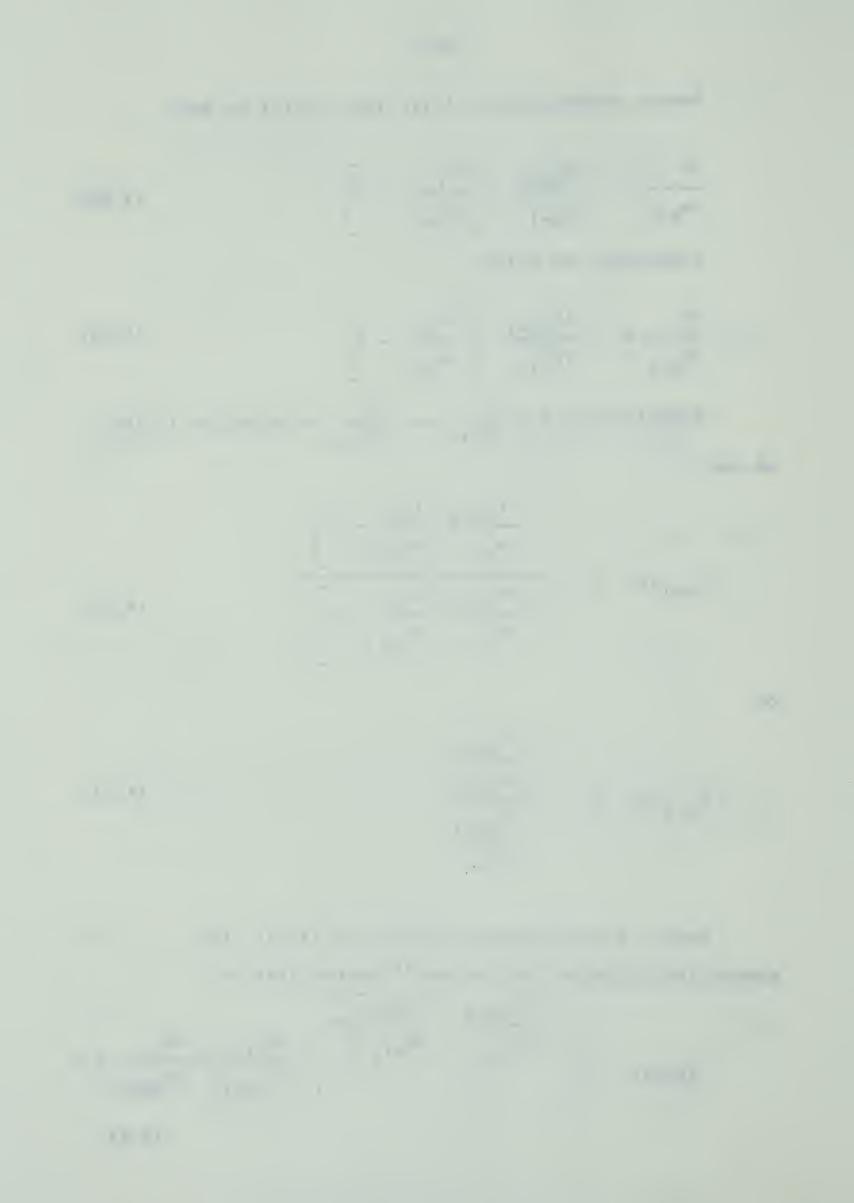
$$Z_{k+1}(t) = \frac{\lambda \frac{\partial P_{Hk+1}}{\partial s_{k+1}} \left[\frac{\partial P_{L}}{\partial P_{Hk+1}} - 1 \right]}{\lambda \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k+1}} \left[\frac{\partial P_{L}}{\partial P_{Hk+1}} - 1 \right]}$$
(4.22)

or

$$Z_{k+1}(t) = \frac{\frac{\partial P_{Hk+1}}{\partial s_{k+1}}}{\frac{\partial P_{Hk+1}}{\partial s_{k+1}}}$$
(4.23)

Hence, from equations (4.17) and (4.23), the scheduling equation for the k+l hydro-plant is

This equation for the k+1 hydro-plant is
$$\int_{0}^{t} \left[\frac{\partial P_{Hk+1}}{\partial s_{k+1}} / \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k+1}} \right] dt - \left[\frac{\partial \dot{s}_{k+1}}{\partial P_{Hk+1}} \right] + \lambda \frac{\partial P_{L}}{\partial P_{Hk+1}} = \lambda$$
(4.24)



This equation is identical to the scheduling equation for the hydro-plants located on separate streams (equation (4.11)).

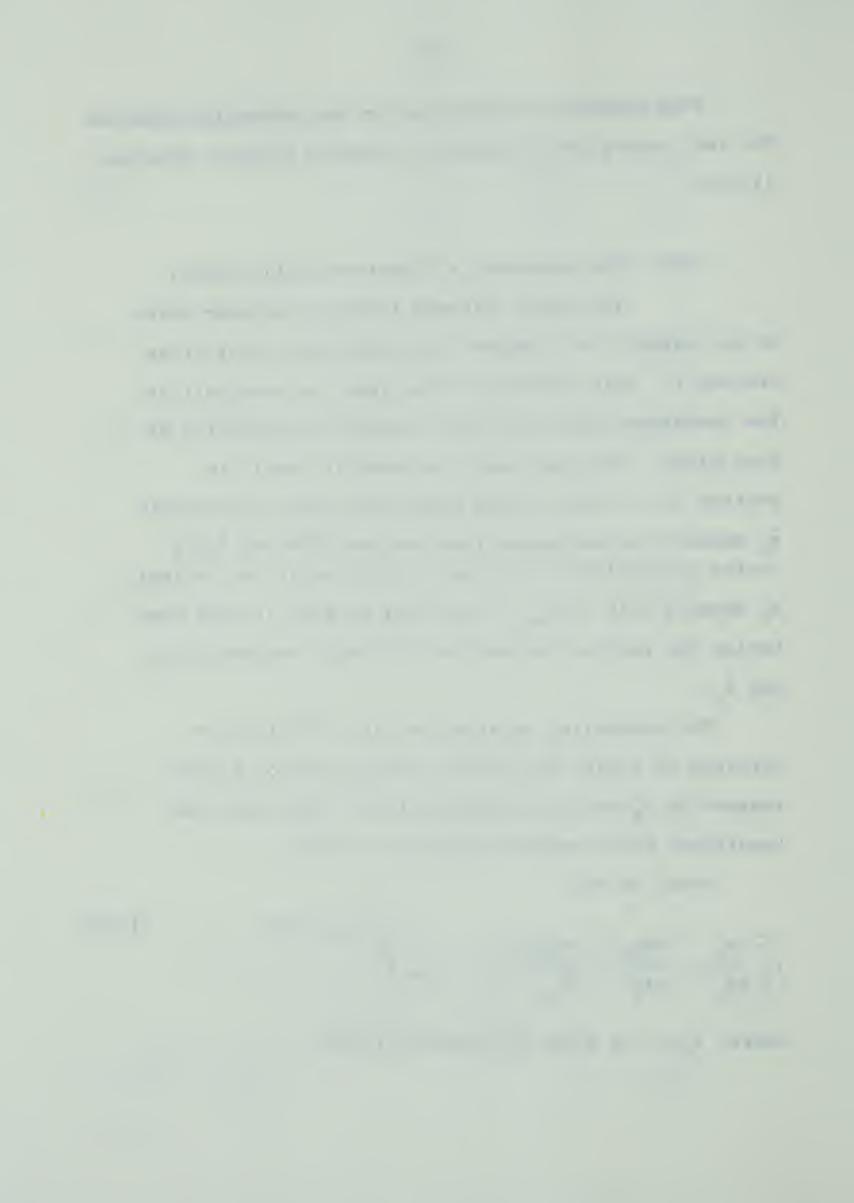
(iii) The upstream, k^{th} , hydro-electric plant:

The water released from the upstream plant at an instant $(t-\tau)$ reaches the downstream plant at an instant t. This discharge flows into the reservoir of the downstream plant and thus affects the operation of that plant. This has been discussed in detail in section 2-2. There it has been shown that the variable \dot{s}_k appears in the expressions for both P Hk and P Hk+1 (refer to equations (2.8) and (2.11)), while the variable s_k appears only in P_{Hk} . This must be kept in mind when taking the partial derivatives of H with respect to s_k and \dot{s}_k .

The scheduling equation for the k^{th} plant is obtained by taking the partial derivatives of H with respect to s_k and \dot{s}_k , and substituting them into the "modified" Euler equation (equation (3.26)).

Thus, we get
$$\lambda \left[\frac{\partial P_{L}}{\partial \dot{s}_{k}} - \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} - \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \right] = \gamma_{k0} e^{\int_{0}^{t} Z_{k}(t) dt}$$
(4.25)

where, Z_k (t) is given by equation (3.18).



Also, we can write

$$\frac{\partial P_{L}}{\partial \dot{s}_{k}} = \frac{\partial P_{L}}{\partial P_{Hk}} \cdot \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} + \frac{\partial P_{L}}{\partial P_{Hk+1}} \cdot \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}}$$
(4.26)

because P_L is a function of both P_{Hk} and P_{Hk+1} ; while both P_{Hk} and P_{Hk+1} are functions of \dot{s}_k .

Hence, equation (4.25) can be rewritten as,

$$\lambda \left[\frac{\partial P_{L}}{\partial P_{Hk}} \cdot \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} + \frac{\partial P_{L}}{\partial P_{Hk+1}} \cdot \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} - \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} - \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \right]$$

$$= \gamma_{k0} \cdot e \qquad (4.27)$$

Now, putting

$$\gamma_{k0} \cdot e^{\int_0^t Z_k(t) dt} = \gamma_k(t)$$

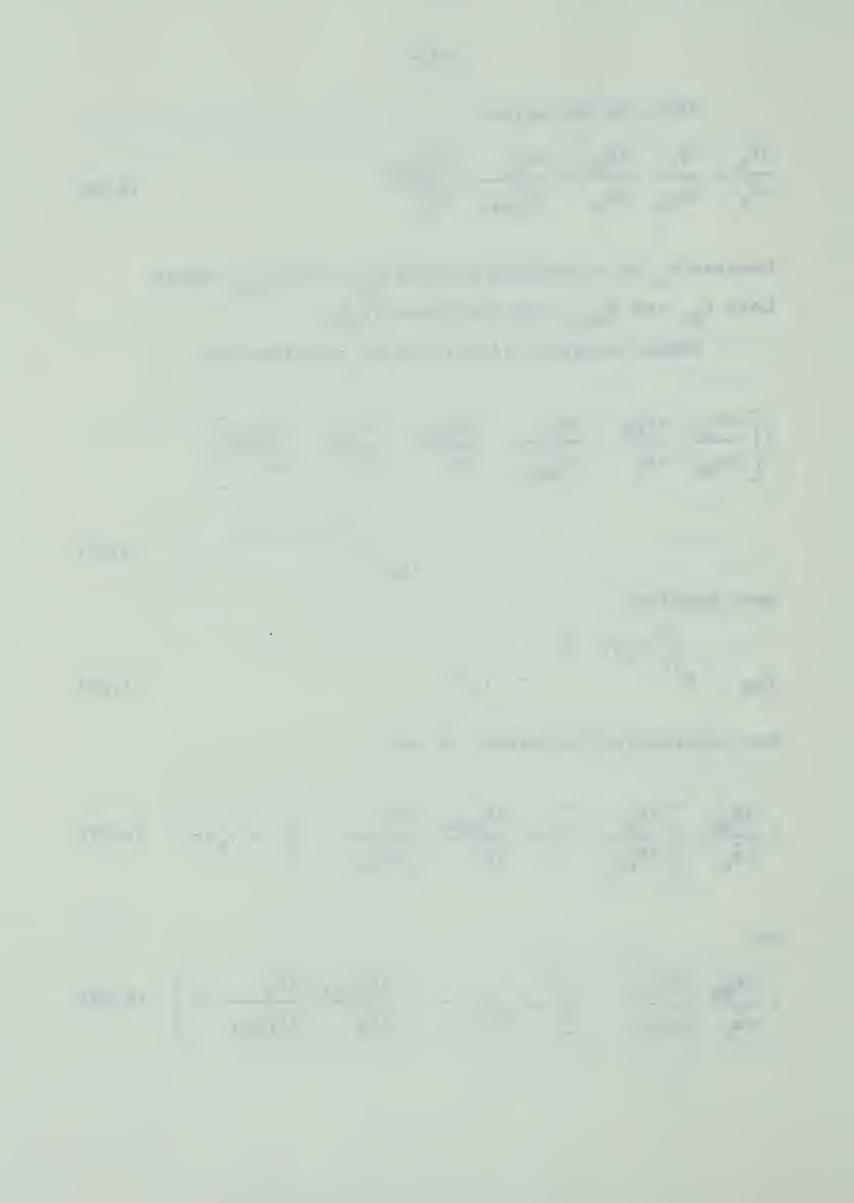
$$= \gamma_k(t)$$
(4.28)

and rearranging the terms, we get

$$\lambda \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk}} - 1 \right] + \lambda \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk+1}} - 1 \right] = \gamma_{k}(t) \quad (4.29)$$

or

$$\lambda \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk}} - 1 \right] = \gamma_{k}(t) - \lambda \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk+1}} - 1 \right]$$
(4.30)



We can write [14],

$$\frac{\partial P_{Hk}}{\partial \dot{s}_{k}} = \frac{\frac{1}{\partial \dot{s}_{k}}}{\partial P_{Hk}} \tag{4.31}$$

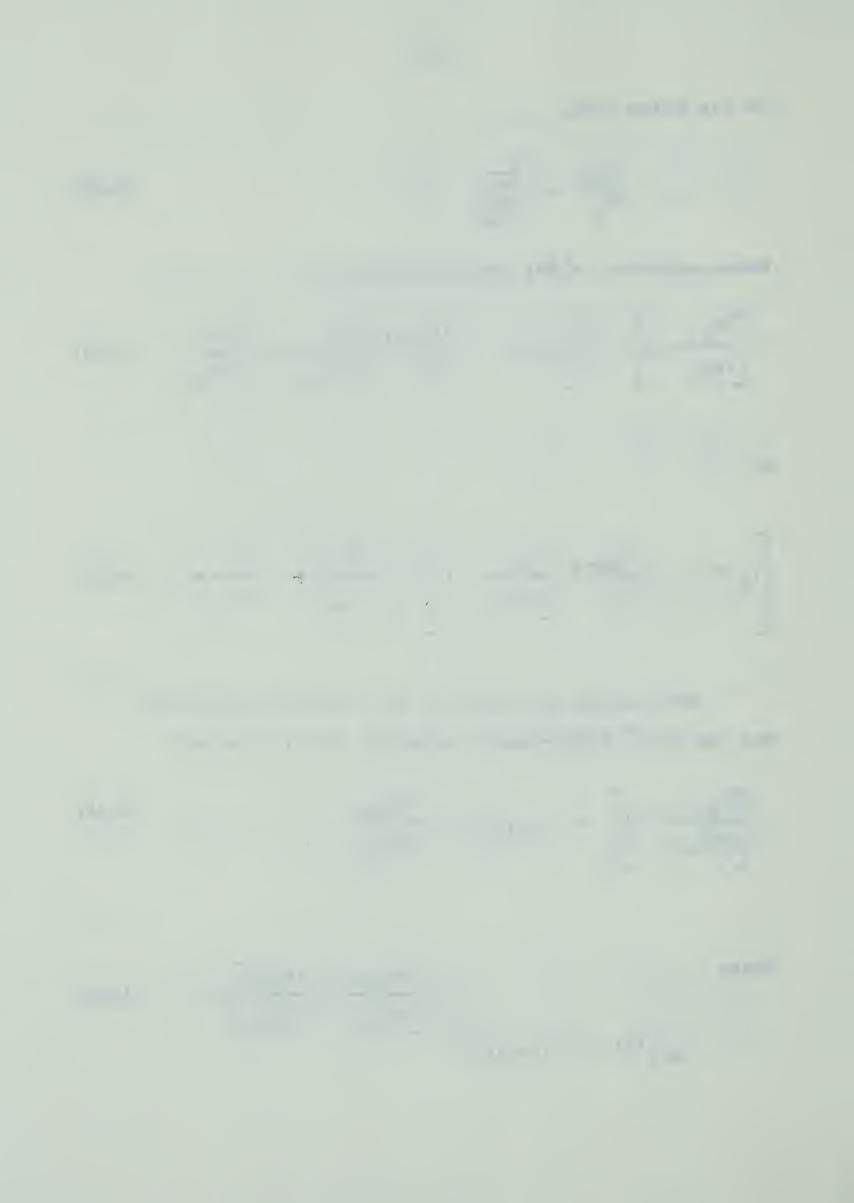
Hence, equation (4.30) can be written as,

$$\lambda \left[\frac{\partial P_{L}}{\partial PH_{k}} - 1 \right] = \left[\gamma_{k}(t) - \lambda \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk+1}} - 1 \right] \cdot \frac{\partial \dot{s}_{k}}{\partial P_{Hk}} \right] (4.32)$$

or

Rearranging the terms of the scheduling equation for the k+1st hydro-plant (equation (4.24)), we get

$$\lambda \left[\frac{\partial P_{L}}{\partial PH_{k+1}} - 1 \right] = \gamma_{k+1}(t) \cdot \frac{\partial \dot{s}_{k+1}}{\partial P_{Hk+1}}$$
(4.34)



Now substituting for
$$\lambda$$
 $\left[\begin{array}{cc} \frac{\partial P_L}{\partial P_{Hk+1}} & -1 \end{array}\right]$ in equation

(4.33), we get,

 γ_k (t) and γ_{k+1} (t) are given by equation (4.28) and (4.35) respectively; and Z_k (t) is given by equation (3.18). In order to evaluate Z_k (t) we must find the partial derivatives of H with respect to s_k and \dot{s}_k .

The variable \mathbf{s}_k appears only in \mathbf{P}_{Hk} , hence, by taking the partial derivative of H with respect to \mathbf{s}_k , we get,

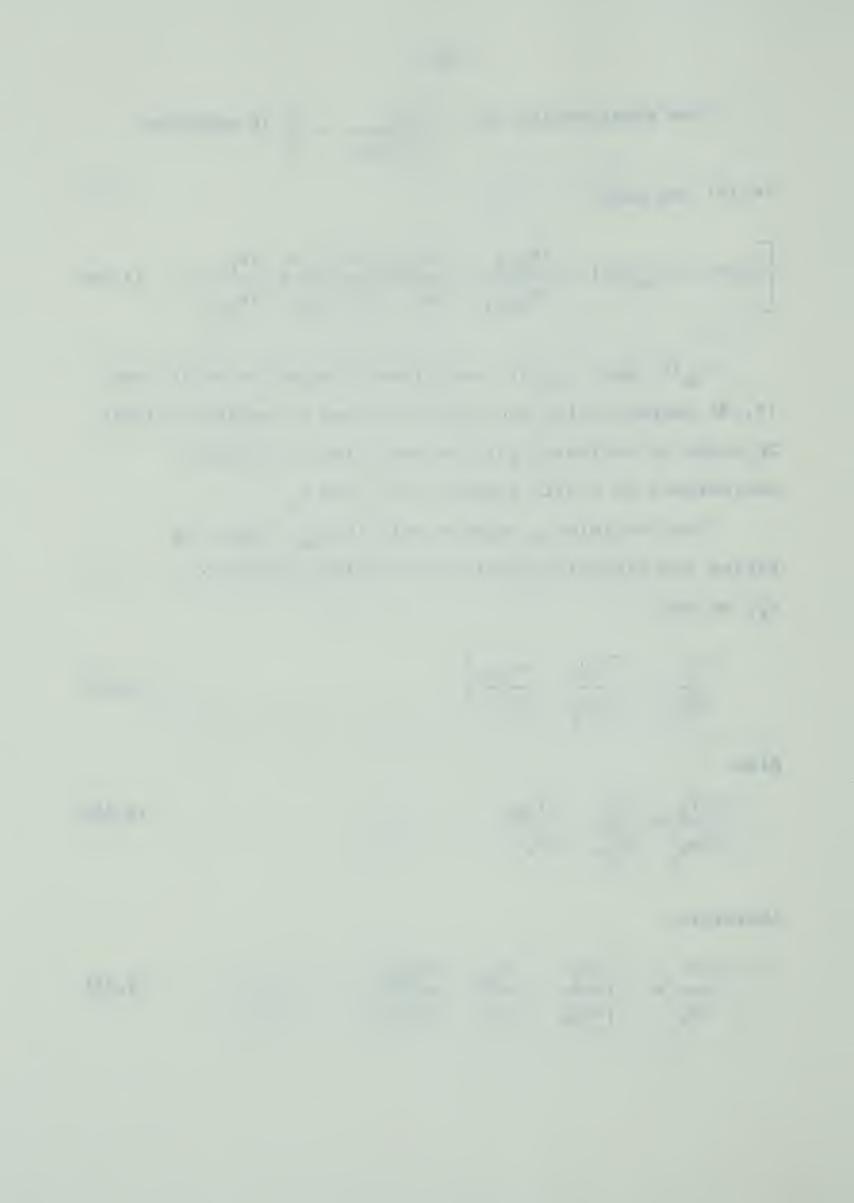
$$\frac{\partial H}{\partial s_{k}} = \lambda \left[\frac{\partial P_{L}}{\partial s_{k}} - \frac{\partial P_{Hk}}{\partial s_{k}} \right]$$
 (4.37)

Also

$$\frac{\partial P_{L}}{\partial s_{k}} = \frac{\partial P_{L}}{\partial P_{Hk}} \cdot \frac{\partial P_{Hk}}{\partial s_{k}}$$
(4.38)

therefore

$$\frac{\partial H}{\partial s_{k}} = \lambda \left[\frac{\partial P_{L}}{\partial P_{Hk}} \cdot \frac{\partial P_{Hk}}{\partial s_{k}} \cdot \frac{\partial P_{Hk}}{\partial s_{k}} \right]$$
(4.39)



or,

$$\frac{\partial H}{\partial s_{k}} = \lambda \frac{\partial P_{Hk}}{\partial s_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk}} - 1 \right]$$
 (4.40)

Since both \mathbf{P}_{Hk} and \mathbf{P}_{Hk+1} are functions of $\dot{\mathbf{s}}_k$, we obtain,

$$\frac{\partial H}{\partial \dot{s}_{k}} = \lambda \left[\frac{\partial P_{L}}{\partial \dot{s}_{k}} - \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} - \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \right]$$
(4.41)

and using equation (4.26), we can write,

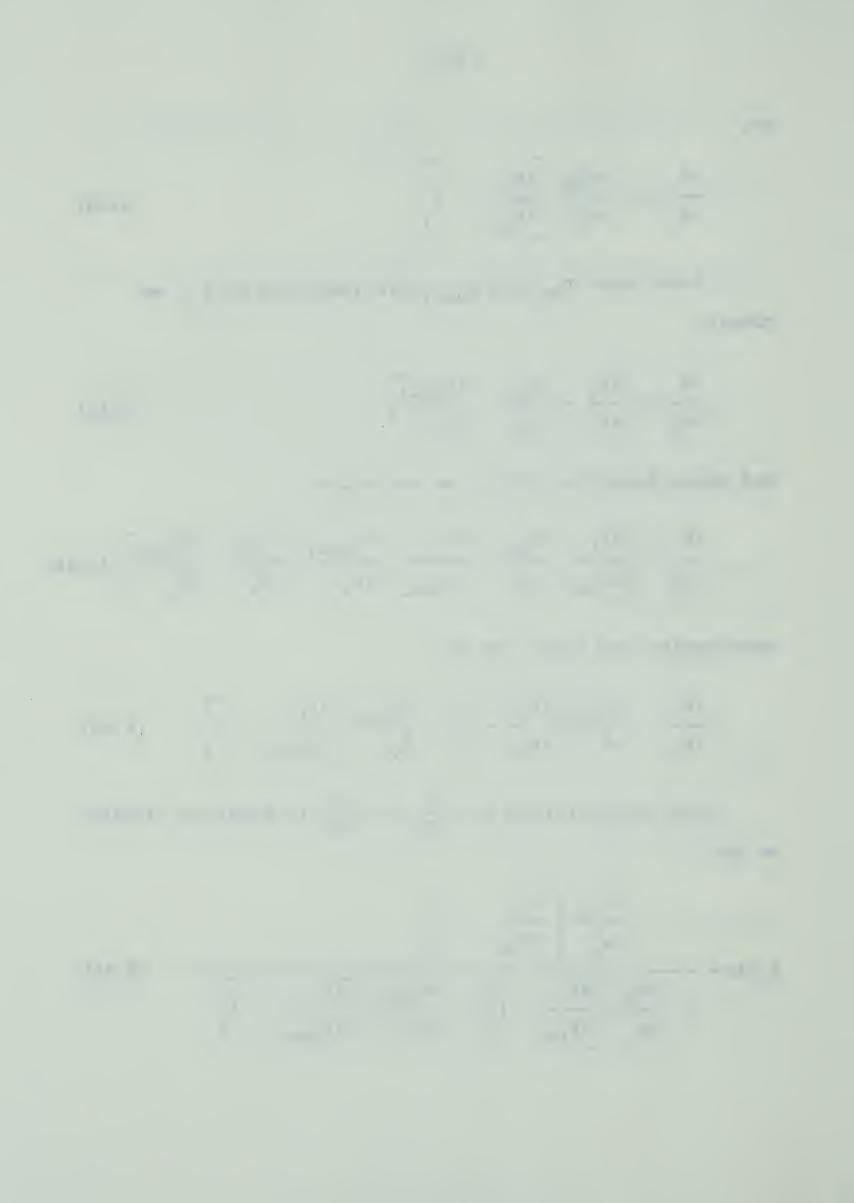
$$\frac{\partial H}{\partial \dot{s}_{k}} = \lambda \left[\frac{\partial P_{L}}{\partial P_{Hk}} \cdot \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} + \frac{\partial P_{L}}{\partial P_{Hk+1}} \cdot \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} - \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} - \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} - \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \right] (4.42)$$

rearranging the terms, we get

$$\frac{\partial H}{\partial \dot{s}_{k}} = \lambda \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk}} - 1 \right] + \lambda \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk+1}} - 1 \right]$$
(4.43)

Now, substituting for $\frac{\partial H}{\partial s_k}$ and $\frac{\partial H}{\partial \dot{s}_k}$ in equation (3.18), we get

$$Z_{k}(t) = \frac{\lambda \frac{\partial P_{Hk}}{\partial s_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk}} - 1 \right]}{\lambda \frac{\partial P_{Hk}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk}} - 1 \right] + \lambda \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk+1}} - 1 \right]}$$
(4.44)



or

$$Z_{k}(t) = \frac{\frac{\partial P_{Hk}}{\partial s_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk}} - 1 \right]}{\frac{\partial P_{Hk}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk}} - 1 \right] + \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} \left[\frac{\partial P_{L}}{\partial P_{Hk+1}} - 1 \right]}$$
(4.45)

Thus, the scheduling equation for the k^{th} plant is given by equation (4.36), where $\gamma_k(t)$ and $\gamma_{k+1}(t)$ are given by equations (4.28) and (4.35) respectively. Furthermore, $Z_k(t)$ is defined by equation (4.45).

<u>4-5</u> <u>Discussion of the Scheduling Equations for the Common-flow Plants</u>

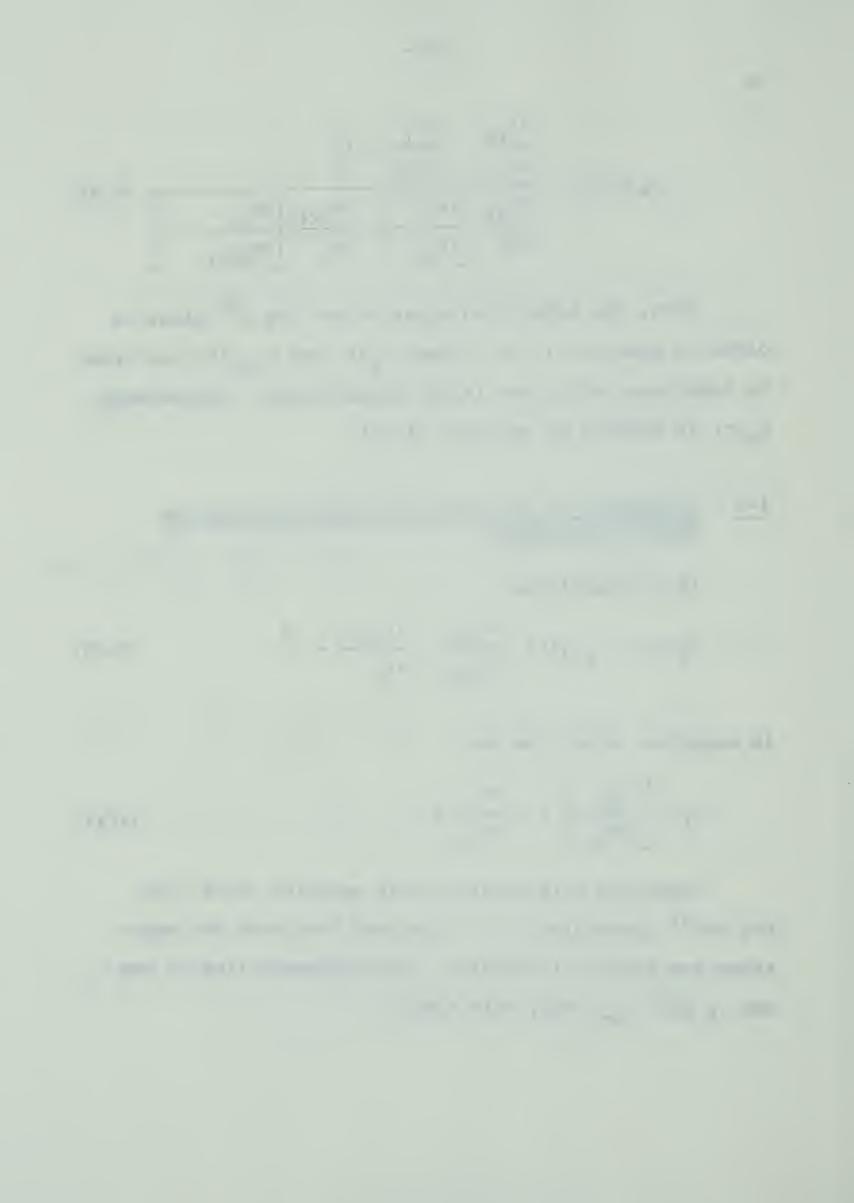
If we substitute

$$\gamma_k(t) - \gamma_{k+1}(t) = \frac{\partial \dot{s}_{k+1}}{\partial P_{Hk+1}} \cdot \frac{\partial P_{Hk+1}}{\partial \dot{s}_k} = \frac{\gamma_k'}{\lambda}$$
 (4.46)

in equation (4.36), we get

$$\gamma_{\mathbf{k}}^{\prime} = \begin{bmatrix} \frac{\partial \dot{s}_{\mathbf{k}}}{\partial P_{\mathbf{H}\mathbf{k}}} \end{bmatrix} + \lambda \frac{\partial P_{\mathbf{L}}}{\partial P_{\mathbf{H}\mathbf{k}}} = \lambda$$
 (4.47)

Comparing this equation with equation (4.24) for the k+l st hydro-plant, it is noticed that both the equations are similar in nature. The difference lies in the way γ_k and γ_{k+l} vary with time.



 γ_j for the jth (j \neq k) hydro-plant is interpreted as the water conversion coefficient for the plant [14]. Its value determines the amount of water which is used over the optimization period. The influence of these coefficients on the scheduling equation is discussed in section 5-3. The water conversion coefficient for the upstream plant is modified due to the presence of the k+lst (downstream) plant on the same stream. Thus if the value for γ_k is also affected.

The time lag between the two reservoirs, τ , is involved in the expression for P_{Hk+1} due to $\dot{s}_k(t-\tau)$. In the scheduling equation, τ affects only the value of $\frac{\partial P_{Hk+1}}{\partial \dot{s}_k}$, and this appears only in the coordinating

equations for the kth hydro-electric plant.

Now, from equation (2.9), we have

$$P_{Hk+1}(t) = P_{Hk+1}(s_{k+1}(t), (s_k(t-\tau) + s_{k+1}(t)))$$

and as the variables of the system can be varied independently,

$$\frac{\partial P_{Hk+1}(t)}{\partial \dot{s}_{k+1}(t)} = \frac{\partial P_{Hk+1}(t)}{\partial \dot{s}_{k}(t-\tau)}$$
(4.48)

Also, $\dot{s}_k(t-\tau)$ can be written as some non-linear function of $\dot{s}_k(t)$ and τ , i.e.,

$$\dot{s}_{k}(t-\tau) = f(\dot{s}_{k}(t),\tau) \tag{4.49}$$

Then

$$\frac{\partial P_{Hk+1}(t)}{\partial \dot{s}_{k}(t)} = \frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}(t-\tau)} \cdot \frac{\partial \dot{s}_{k}(t-\tau)}{\partial \dot{s}_{k}(t)}$$
(4.50)

Using equation (4.48), equation (4.50) can be written as,

$$\frac{\partial P_{Hk+1}}{\partial \dot{s}_{k}} = \frac{\partial P_{Hk+1}(t)}{\partial \dot{s}_{k+1}(t)} \cdot \frac{d\dot{s}_{k}(t-\tau)}{d\dot{s}_{k}(t)}$$
(4.51)

Now, if τ is constant, $\dot{s}_k^{}(t-\tau)$ can be expanded using a Taylor's series, as

$$\dot{s}_{k}(t-\tau) = \dot{s}_{k}(t) - \tau \dot{s}_{k}(t) + \frac{\tau^{2}}{2!} \dot{s}(t) - \cdots$$
 (4.52)

Differentiating both the sides with respect to $\dot{s}_k^{\,\,(t)}$, we get

$$\frac{d\dot{s}_{k}(t-\tau)}{d\dot{s}_{k}(t)} = 1 - \tau \frac{d\dot{s}_{k}(t)}{d\dot{s}_{k}(t)} + \frac{\tau^{2}}{2!} \frac{d\dot{s}_{k}(t)}{d\dot{s}_{k}(t)} - \dots (4.53)$$

Hence, a suitable numerical method for evaluating $\frac{d\mathring{s}_k^{(t)}}{d\mathring{s}_k^{(t)}}$ etc. must be evolved in order to obtain the value of $\frac{\partial\mathring{s}_k^{(t-\tau)}}{\partial\mathring{s}_k^{(t)}}$. Then, the scheduling equation for the

kth hydro-plant can be solved numerically.

CHAPTER V

APPLICATION

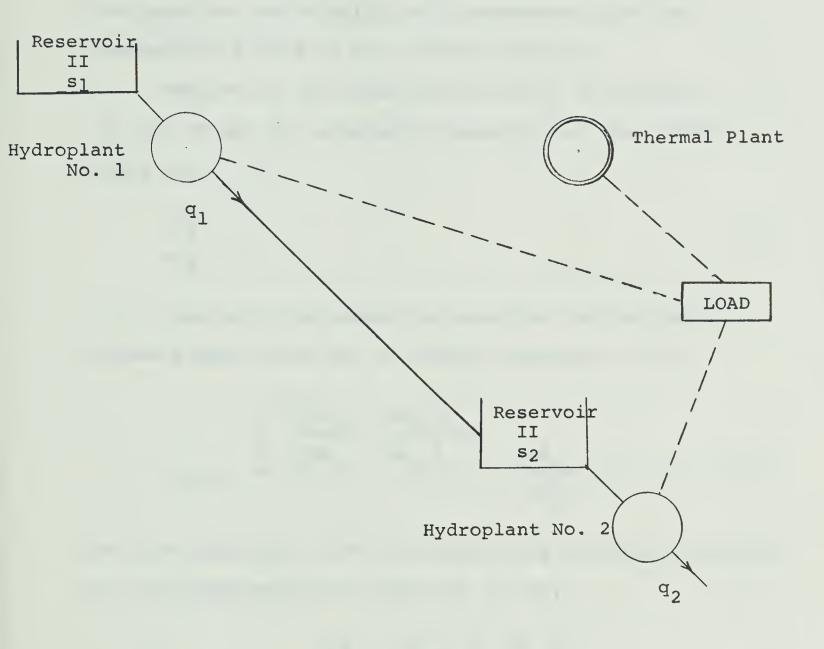
5-1 The Problem Considered

The system considered here consists of one thermal and two hydro-electric plants. It is required to operate this system to meet a given load demand in the most economical manner. The characteristics of the hydro-plants and the cost function for the system are given in Appendix 1. The system is shown schematically in Fig. 5.1 The optimization interval is 24 hours. The two hydro-plants are located on the same stream. The effect of variation of head upon the hydro-plant characteristics will be taken into consideration.

The problem is solved under the following assumptions:

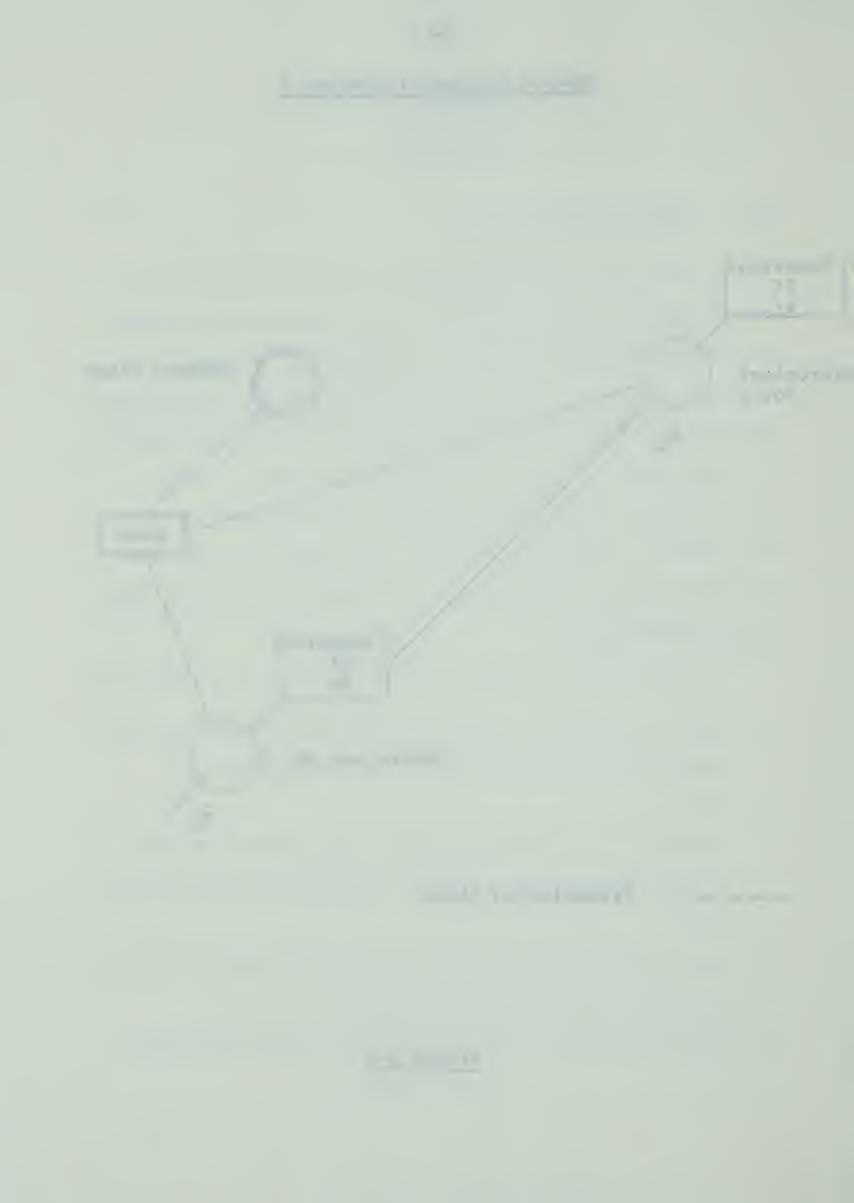
- 1. The time taken by the water to flow from the upstream plant to the downstream plant is assumed to be zero.
- During the optimization period, the natural inflows to the reservoirs of the two plants are assumed to be zero.
- 3. The tail race elevations and the head losses in conduits are assumed to be constant.
- 4. The transmission losses in the system are negligible.

System Studied in Chapter 5



____ Transmission Lines

FIGURE 5-1



5-2 Method of Solution

The above-mentioned problem is solved by using the scheduling equations developed in section 4-4.

The equations are simplified in accordance with the assumptions listed in the previous section.

Neglecting the terms containing P_L in equation (4.10), we get the scheduling equation for the thermal plant as,

$$\frac{\partial C_{T}}{\partial P_{T}} = \lambda \tag{5.1}$$

Similarly the scheduling equation for the downstream plant (plant no. 2) becomes (equation 4.24)):

$$- \gamma_{(2)0} \cdot e^{t \left[\frac{\partial P_{H2}}{\partial s_2} / \frac{\partial P_{H2}}{\partial \dot{s}_2}\right]} dt - \gamma_{(2)0} \cdot e^{t \left[\frac{\partial P_{H2}}{\partial s_2} / \frac{\partial P_{H2}}{\partial \dot{s}_2}\right]} dt$$
 (5.2)

and from equation (4.36), we obtain the scheduling equation for the upstream plant (plant no. 1) as,

$$\left[\gamma_{1}(t) - \gamma_{2}(t) \frac{\partial \dot{s}_{2}}{\partial P_{H2}} \cdot \frac{\partial P_{H2}}{\partial \dot{s}_{1}}\right] \left[-\frac{\partial \dot{s}_{1}}{\partial P_{H2}}\right] = \lambda$$
 (5.3)

where

$$\gamma_{1}(t) = \gamma_{(1)0} \cdot e^{\int_{0}^{t} \frac{\frac{\partial P_{H1}}{\partial s_{1}}}{\frac{\partial P_{H1}}{\partial \dot{s}_{1}} + \frac{\partial P_{H2}}{\partial \dot{s}_{1}}} dt \qquad (5.4)$$

and

and
$$\frac{\partial P_{H2}}{\partial s_2} dt$$

$$\frac{\partial P_{H2}}{\partial s_2} dt$$

$$\frac{\partial P_{H2}}{\partial s_2} dt$$

$$\frac{\partial P_{H2}}{\partial s_2} dt$$
(5.5)

Equation (5.3) can be further simplified by taking into account the fact that $\tau = 0$. When $\tau = 0$, equation (2.9) becomes,

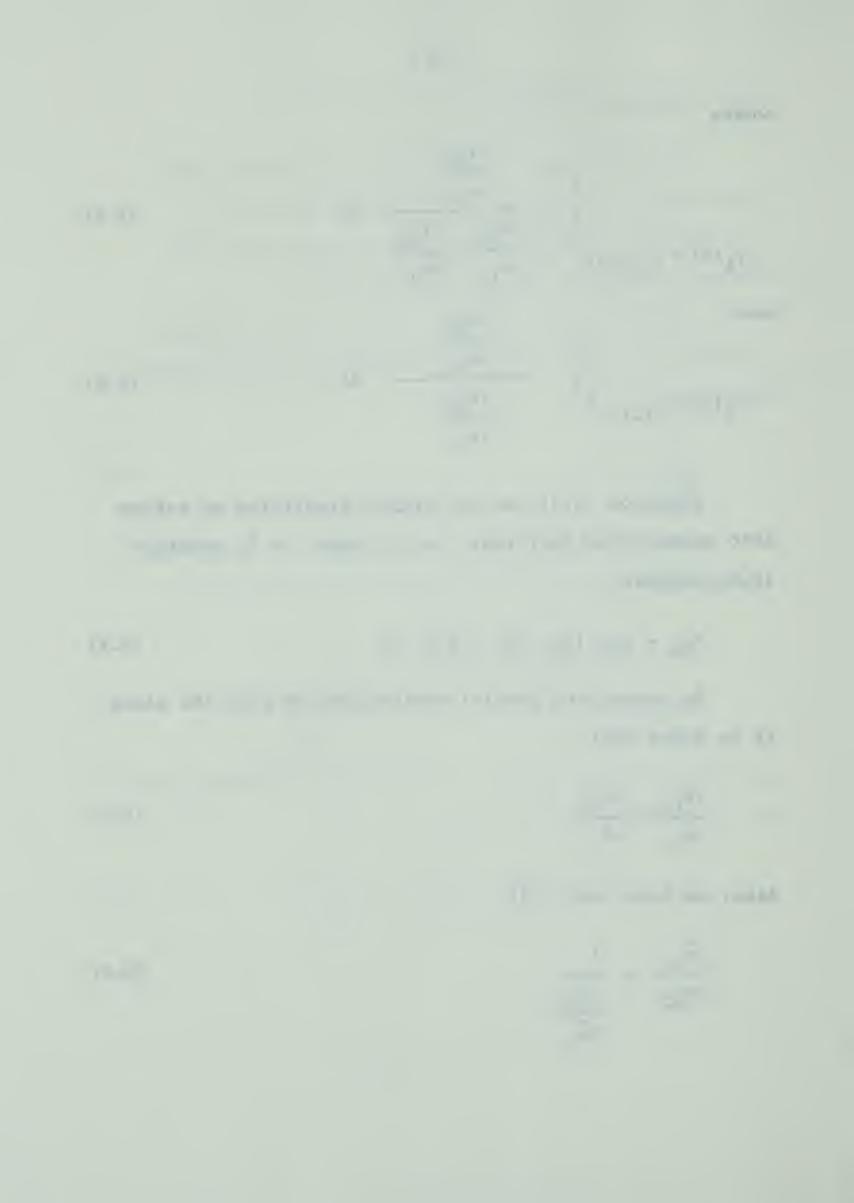
$$P_{H2} = P_{H2} (s_2, (\dot{s}_1 + \dot{s}_2), t)$$
 (5.6)

By taking the partial derivatives of both the sides it is found that

$$\frac{\partial P_{H2}}{\partial \dot{s}_1} = \frac{\partial P_{H2}}{\partial \dot{s}_2} \tag{5.7}$$

Also, we know that [14],

$$\frac{\partial \dot{s}_{2}}{\partial P_{H2}} = \frac{1}{\frac{\partial P_{H2}}{\partial \dot{s}_{2}}}$$
(5.8)



therefore

$$\frac{\partial \dot{s}_2}{\partial P_{H2}} \cdot \frac{\partial P_{H2}}{\partial \dot{s}_1} = 1 \tag{5.9}$$

Hence, equation (5.3) can be rewritten as,

$$\left[\gamma_{1}(t) - \gamma_{2}(t)\right] \left(-\frac{\partial \dot{s}_{1}}{\partial P_{H2}}\right) = \lambda$$
 (5.10)

Equations (5.2) and (5.10) can be rewritten as,

$$\frac{1}{\lambda} \cdot \gamma_2(t) = -\frac{\partial P_{H2}}{\partial \dot{s}_2}$$
 (5.11)

and

$$\frac{1}{\lambda} \left[\gamma_1(t) - \gamma_2(t) \right] = -\frac{\partial P_{H1}}{\partial \dot{s}_1}$$
 (5.12)

respectively. $\gamma_1(t)$ and $\gamma_2(t)$ are given by equations (5.4) and (5.5) respectively.

Now, from the given system data (Appendix 1)

$$C_{\rm T} = 0.012 P_{\rm T}^2 + 4.0 P_{\rm T} + 1.0$$
 (5.13)

Hence, evaluating the partial derivative of C_{T} with respect to P_{T} and substituting into equation (5.1), we get

$$0.024 P_{T} + 4.0 = \lambda \tag{5.14}$$

or

$$P_{T} = \frac{\lambda - 4.0}{0.024} \tag{5.15}$$

Equation (5.15) represents the scheduling equation for the thermal plant.

Also, from the system data (Appendix 1) for the downstream plant (plant No. 2), we have,

$$P_{H2} = 0.076 q_2 (h_2 - 5 - 1.5 q_2)$$
 (5.16)

Now, since the natural inflows are zero equation (2.5) becomes

$$q_2 = -\dot{s}_1 - \dot{s}_2$$
 (5.17)

Also

$$h_2 = \frac{s_2}{A_2}$$
 (5.18)

Substituting for q_2 and h_2 in equation (5.11), we get

$$P_{H2} = 0.076 \ (-\dot{s}_1 - \dot{s}_2) \left[\frac{\dot{s}_2}{\dot{A}_2} - 5 - 1.5 \ (-\dot{s}_1 - \dot{s}_2) \right] (5.19)$$

Hence,

$$\frac{\partial P_{H2}}{\partial \dot{s}_{1}} = \frac{\partial P_{H2}}{\partial \dot{s}_{2}}$$

$$= -0.076 \left[\frac{s_{2}}{A_{2}} - 5 - 3.0 (-\dot{s}_{1} - \dot{s}_{2}) \right]$$

$$= -0.076 (h_{2} - 5 - 3.0 q_{2})$$
(5.20)

(5.21)

and

$$\frac{\partial P_{H2}}{\partial s_2} = 0.076 \quad \frac{q_2}{A_2} \tag{5.22}$$

Using equations (5.21 and (5.22), equation (5.2) for the downstream plant can be written as,

$$\frac{\gamma_2(t)}{\lambda} = 0.076 (h_2 - 5 - 3.0 q_2)$$
 (5.23)

and, from equation (5.5)

$$\int_{0}^{t} \frac{0.076 \, q_{2}}{-A_{2}.0.076 \, (h_{2}-5.0-3.0 \, q_{2})} \, dt$$

$$\gamma_{2}(t) = \gamma_{(2)0} \cdot e$$

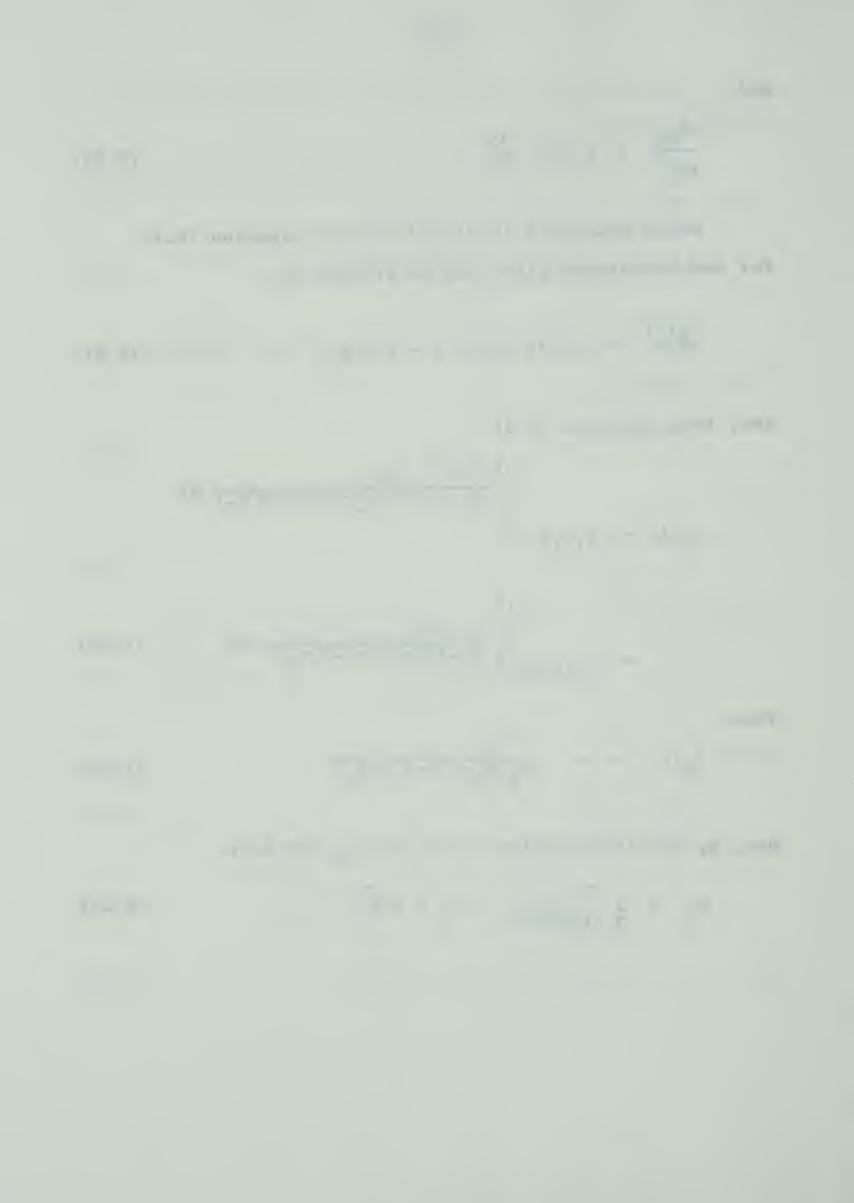
$$= \gamma_{(2),0,e} \int_0^t \frac{-q_2}{A_2 \cdot (h_2 - 5.0 - 3.0 q_2)} dt \qquad (5.24)$$

Thus,

$$z_2(t) = -\frac{q_2}{A_2(h_2-5.0-3.0 q_2)}$$
 (5.25)

Now, by solving equation (5.23) for q_2 , we get,

$$q_2 = \frac{1}{3} \left[\frac{\gamma_2}{0.076.\lambda} - h_2 + 5.0 \right]$$
 (5.26)



From the system data (Appendix 1) the expression for the output of the upstream plant (plant No. 1) is,

$$P_{H1} = 0.06486.q_1(h_1-20 + 38.107 q_1 - 2.863 q_1^2)$$
 (5.27)

Since, the natural inflows are assumed to be zero,

$$q_1 = -\dot{s}_1 \tag{5.28}$$

Also

$$h_1 = \frac{s_1}{A_1}$$
 (5.29)

Substituting for q_1 and h_1 in equation (5.27) and taking the partial derivatives with respect to s_1 and \dot{s}_1 , we get

$$\frac{\partial P_{H1}}{\partial s_{1}} = \frac{0.06486 (-\dot{s}_{1})}{A_{1}}$$

$$= \frac{0.06486 q_{1}}{A_{1}}$$
(5.30)

and +

$$\frac{\partial P_{H1}}{\partial \dot{s}_{1}} = -0.06486 (h_{1} - 20 + 76.214 (-\dot{s}_{1}) - 8.589 (-\dot{s}_{1})^{2})$$

$$= -0.06486 (h_1 - 20 + 76.214 q_1 - 8.589 q_1^2)$$
 (5.31)
Substituting for $\frac{\partial P_{H1}}{\partial \dot{s}_1}$ in equation (5.12), we get

$$\frac{1}{\lambda} \left[\gamma_1 - \gamma_2 \right] = + 0.06486 \left(h_1 - 20 + 76.214 \, q_1 - 8.589 \, q_1^2 \right) (5.32)$$

Rearranging the terms and solving for q_1 , we get

$$q_1 = \frac{76.214 + \sqrt{(76.214)^2 - 34.356 C_1}}{17.178}$$
 (5.33)

where

$$C_1 = \frac{\gamma_1 - \gamma_2}{\lambda \cdot 0.06486} - h_1 + 20 \tag{5.34}$$

Equation (5.6) can be written as

$$\gamma_1(t) = \gamma_{(1)0} e^{\int_0^t Z_1(t) dt}$$
 (5.35)

where

$$Z_{1}(t) = \frac{\frac{\partial P_{H1}}{\partial s_{1}}}{\frac{\partial P_{H1}}{\partial \dot{s}_{1}} + \frac{\partial P_{H2}}{\partial \dot{s}_{1}}}$$
(5.36)

Substituting for $\frac{\partial P_{H1}}{\partial \dot{s}_1}$, $\frac{\partial P_{H1}}{\partial \dot{s}_1}$ and $\frac{\partial P_{H2}}{\partial \dot{s}_1}$ from equations

(5.30), (5.31) and (5.21) in equation (5.36), we get

$$z_1(t) = \frac{-0.06486 \cdot q_1}{A_1 \left[0.06486 \left(h_1 - 20 + 76.214 q_1 - 8.859 q_1^2\right)\right]}$$
 (5.37)

+ 0.076 (
$$h_2$$
-5-3.0 q_2)



5-3 Computing Technique

The optimization interval is divided into 24 sub-intervals, each of one hour duration. The required generation schedule is obtained by solving equations (5.15), (5.26) and (5.33). For known values of λ , $\gamma_{(1)0}$ and $\gamma_{(2)0}$, these equations can be solved for P_T , q_1 and q_2 . By substituting for q_1 and q_2 in equations (5.27) and (5.16) the corresponding values of P_{H1} and P_{H2} can be obtained. The values of λ and $\gamma_{(1)0}$ and $\gamma_{(2)0}$ are found by using the constraints of the problem, as explained in the following paragraphs.

 λ appears in the three scheduling equations due to the point constraint that the load demand is to be met at each hourly interval. That is, we require

$$P_{D} = P_{H1} + P_{H2} + P_{T}$$
 (5.38)

This relationship must be satisfied at all times.

The values of $\gamma_{(1)0}$ and $\gamma_{(2)0}$ are chosen such that the total amount of water used by each plant over the optimization interval is equal to the limited specified quantity. That is,

$$\int_{0}^{T} q_{j} dt = Q_{j}$$
 (5.39)

j = 1, 2

The purpose of writing the computer program is not only to solve the three scheduling equations, but also to compute the values for λ and $\gamma_{(1)0}$ and $\gamma_{(2)0}$. The general layout of the program is given by the flow-chart given on page 62 of this thesis.

The scheduling equations (5.15), (5.26) and (5.33) can be solved for known values of λ , $\gamma_{(1)0}$ and $\gamma_{(2)0}$. The constraint given by equation (5.38) must be satisfied at all instants during the optimization interval. For this reason a λ loop appears within the γ loop of the program. The values of $\gamma_{(1)0}$ and $\gamma_{(2)0}$ can be corrected only when a trial schedule for 24 hours has been calculated.

Hence, to start with, some suitable values for $\gamma_{(1)\,0}$ and $\gamma_{(2)\,0}$ are assumed and the scheduling equations are subsequently solved for the 24 intervals. At each of these intervals new value value for λ is to be obtained. This is done, by assuming a suitable starting value for λ and solving the scheduling equations. Now, if the constraint given by equation (5.38) is not satisfied, the value of λ is increased by a small increment and the above process is repeated until the constraint is satisfied. Once this is done, the computer leaves the loop and goes on to calculate new values for γ_1 , γ_2 , and γ_3 and γ_4 in order to compute the generation schedules for the next hour. Glimn and Kirchmayer [14] have suggested keeping γ_4 and γ_4 and γ_5 (t) constant during all of the

. . .

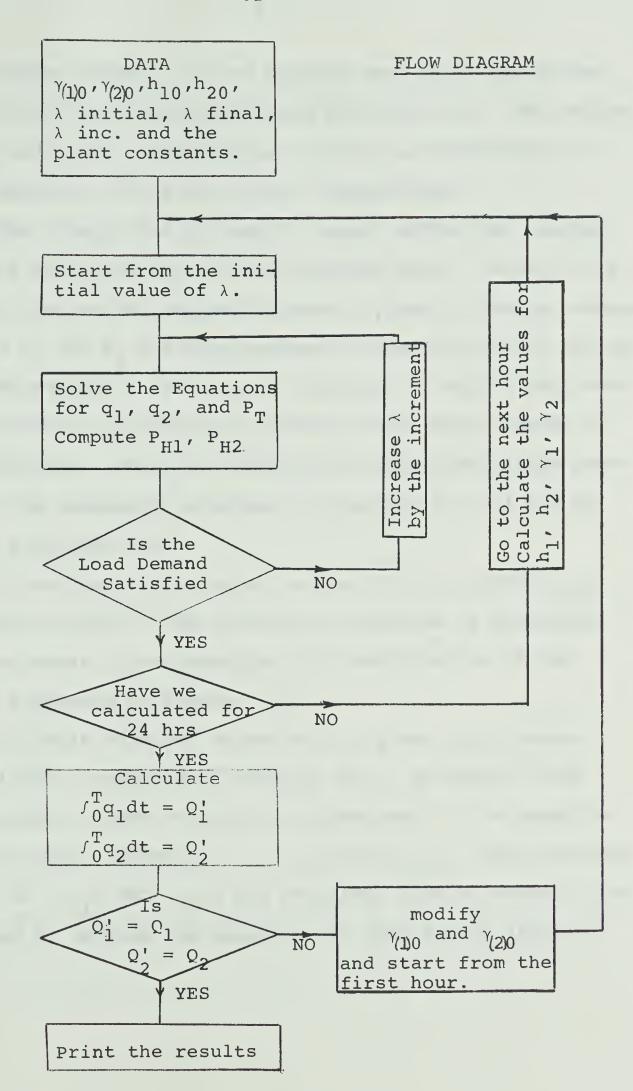
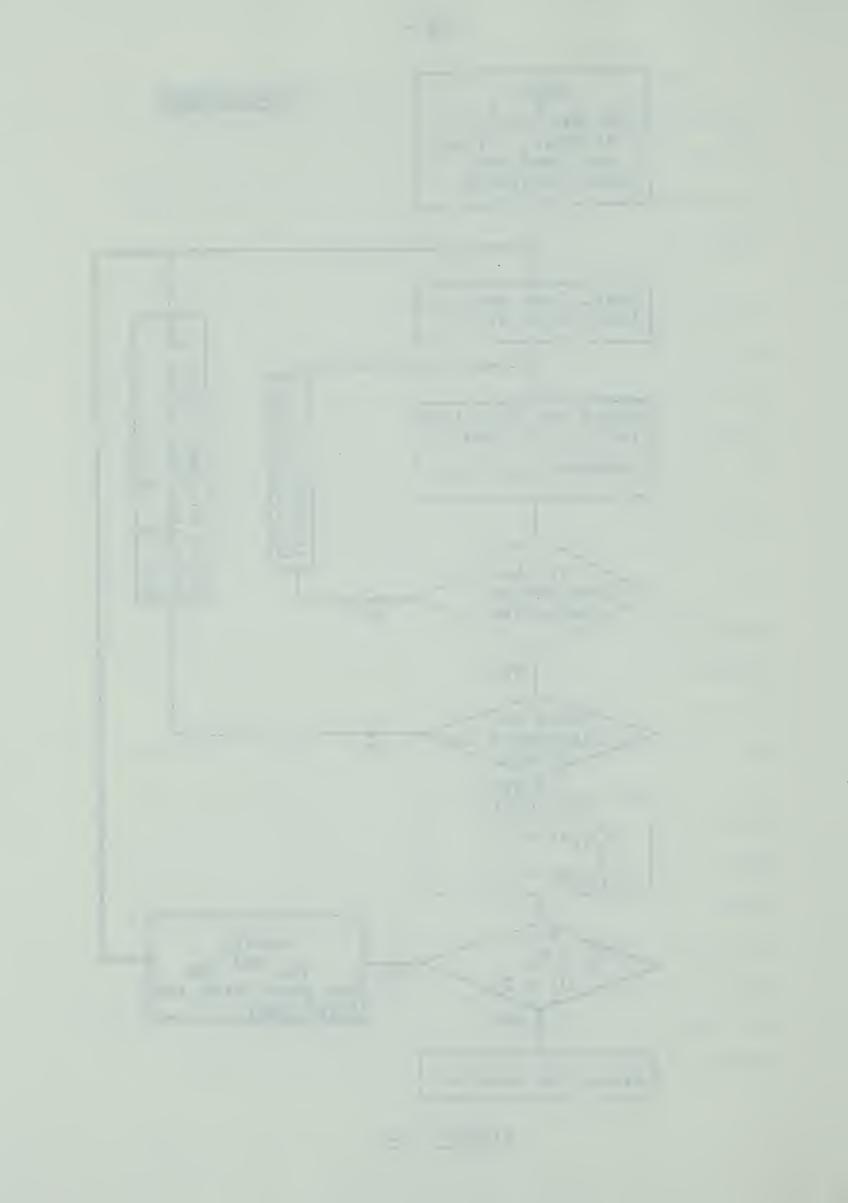


FIGURE 5-2



optimization period. In the program used here, new values of Z_1 (t) and Z_2 (t) are calculated for each hour. The values for γ_1 and γ_2 for a particular interval are evaluated by using equation (5.35) and (5.24) respectively.

The integration is done by simply adding the values of $\mathbf{Z}_1(t)$ and $\mathbf{Z}_2(t)$ for all the previous hours. Also, it is assumed that during any sub-interval γ_1 and γ_2 remain constant. \mathbf{q}_1 , \mathbf{q}_2 , \mathbf{h}_1 and \mathbf{h}_2 are also assumed to remain constant during the sub-intervals. For better accuracy, it may be desirable to divide the optimization period into a larger number of sub-intervals. Thus, by following the above-mentioned procedure, the generation schedule is prepared for all the 24 hourly sub-intervals.

To arrive at the correct values for $\gamma_{(1)\,0}$ and $\gamma_{(2)\,0}$ a method similar to the relaxation technique is developed in this thesis. The technique is a modification of the method suggested by Dandeno [22].

Suitable starting values of $\gamma_{(1)0}$ and $\gamma_{(2)0}$ should be available, computed or guessed [22]. By making rough calculations, based on logical assumptions, it is possible to find rough values of λ , $\gamma_{(1)0}$ and $\gamma_{(2)0}$. Once suitable values of $\gamma_{(1)0}$ and $\gamma_{(2)0}$ are obtained, a trial schedule is prepared by solving the equations at each hourly interval.

Let the amount of water used by the two plants, over the optimization interval, be Q_1' and Q_2' respectively. The values of $\gamma_{(1)0}$ and $\gamma_{(2)0}$ are to be corrected, so that the total amount of water used is Q_1 and Q_2 . To do this two more schedules are prepared by changing the values of $(\gamma_{(1)0} - \gamma_{(2)0})$ and $\gamma_{(2)0}$ in turn. Hence, first $\gamma_{(1)0}$ is changed by a small increment $\Delta\gamma_1$, while $\gamma_{(2)0}$ is kept constant; and then both $\gamma_{(1)0}$ and $\gamma_{(2)0}$ are changed by $\Delta\gamma_2$ so that $(\gamma_{(1)0} - \gamma_{(2)0})$ remains constant. Let the water required by the two plants in the two cases be Q_1'' and Q_2''' ; and Q_1''' and Q_2'''' .

Let us define,

R ₁	=	Q ₁ - Q' ₁	(5.40)
R ₂	=	Q ₂ - Q ₂	(5.41)
ΔQi	=	Q' - Q''	(5.42)
ΔQ' ₂	=	Q ₂ - Q ₂ '	(5.43)
ΔQ'''	=	Q' - Q'''	(5.44)
ΔQ''	=	$Q_2^{\dagger} - Q_2^{\dagger \dagger \dagger}$	(5.45)

Now, let the required correction in the values of $\gamma_{(1)0}$ and $\gamma_{(2)0}$ be $\delta\gamma_1$ and $\delta\gamma_2$. The values of $\delta\gamma_1$ and $\delta\gamma_2$ are obtained by solving the following equations:



$$\frac{(\delta \gamma_1 - \delta \gamma_2)}{\Delta \gamma_1} + \delta \gamma_2 \frac{\Delta Q_1''}{\Delta \gamma_2} = R_1$$
 (5.46)

$$(\delta \gamma_1 - \delta \gamma_2) \frac{\Delta Q_2}{\Delta \gamma_1} + \delta \gamma_2 \frac{\Delta Q_2''}{\Delta \gamma_2} = R_2$$
 (5.47)

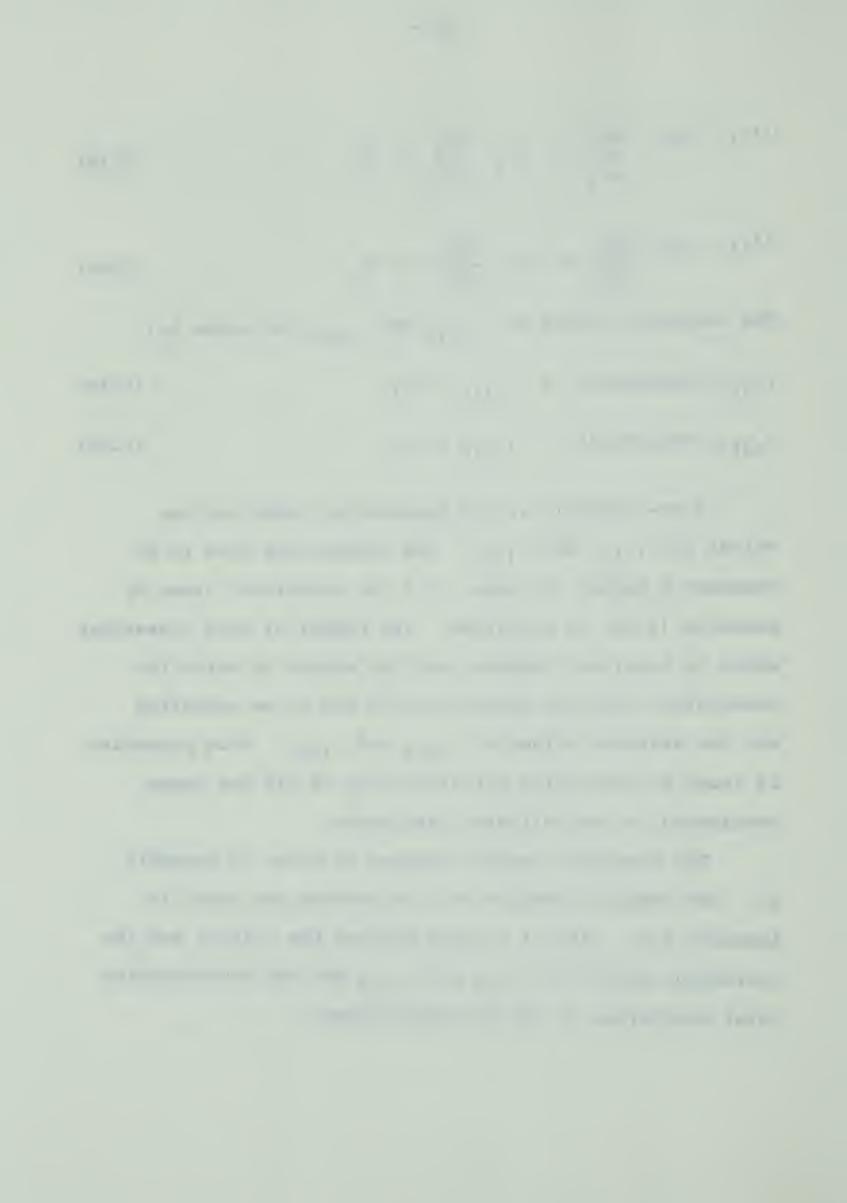
The corrected values of $\gamma_{(1)0}$ and $\gamma_{(2)0}$ are given by,

$$\gamma_{(1)0}$$
 (corrected) = $\gamma_{(1)0} + \delta\gamma_1$ (5.48)

$$\gamma_{(2)0}$$
 (corrected) = $\gamma_{(2)0} + \delta\gamma_2$ (5.49)

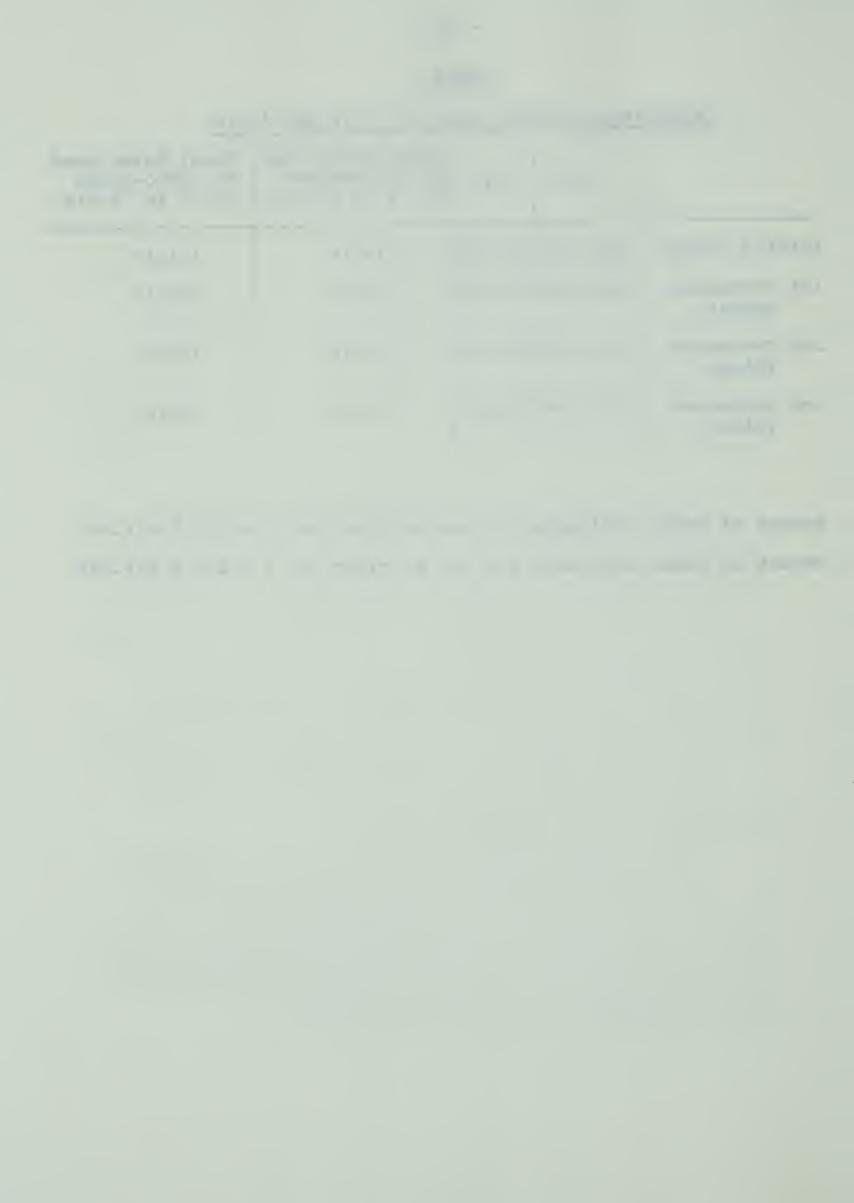
A new schedule is now prepared by using the new values for $\gamma_{(1)0}$ and $\gamma_{(2)0}$. The process may have to be repeated a number of times, till the constraint given by equation (5.39) is satisfied. The number of such iterations, which is required, depends upon the extent to which the constraint (given by equation (5.39)) has to be satisfied and the starting values of $\gamma_{(1)0}$ and $\gamma_{(2)0}$. This procedure is found to work quite satisfactorily in all the cases considered in the following paragraphs.

The complete computer program is given in Appendix II. The computer results for the problem are given in Appendix III. Table I on page 66 gives the initial and the corrected values for $\gamma_{(1)0}$ and $\gamma_{(2)0}$ and the corresponding total discharges of the two hydro-plants.



	^γ (1)0	^Y (2)0	Total Water Used by Hydro-Plant No. 1 in 24 hrs.	Total Water Used by Hydro-Plant No. 2 in 24 hrs.
Initial Values	225.000	65.000	139.76	131.17
lst Corrected Values	241.651	67.995	123.62	153.18
2nd Corrected Values	241.259	68.048	124.98	150.05
3rd Corrected Values	241.258	68.050	125.00	150.00

Amount of water available for use by plant No. l = 125 K.S.F.-hr.Amount of water available for use by plant No. 2 = 150 K.S.F.-hr.



5.4 Solution by Alternative Methods and Comparison of Results

To test the effectiveness of the method developed in this thesis, the problem discussed in section 5-1 is solved by using the following methods as well.

Alternative Method No. 1:

Constant \(\text{Method} : \)

This method has been developed by Kirchmayer [18]. It does not take into account the effect of variation of head upon hydro-plant characteristics. Neglecting transmission loss terms, the scheduling equations become, for the thermal plant:

$$\frac{\partial C_{\mathbf{T}}}{\partial P_{\mathbf{T}}} = \lambda \tag{5.50}$$

and for the hydro-plant number 1:

$$(\gamma_1 - \gamma_2) \frac{\partial q_2}{\partial P_{H2}} = \lambda \tag{5.51}$$

and for hydro-plant number 2:

$$\gamma_2 = \frac{\partial q_2}{\partial P_{H2}} = \lambda \tag{5.52}$$

Both γ_1 and γ_2 remain constant during the optimization interval. The problem is solved under the same assumptions and on the same lines as those used in section 5-3.

Alternative Method No. 2:

One possible method for operating the hydroplants is to keep their discharge constant at an average value. The total output of the two hydroplants is computed and the deficit is generated by the thermal plant. The cost of operating the system in this way is calculated.

Alternative Method No. 3:

The problem stated in section 3-1 is modified by assuming that the two hydro-plants are located on separate streams. The scheduling equations for the thermal plant and the downstream hydro-plant are the same as equations (5.1) and (5.2) respectively. The scheduling equation for the upstream plant becomes: (from equation (3.47))

$$\gamma_{(1)0} \cdot e^{t \frac{\partial P_{H1}}{\partial s_{1}}} / \frac{\partial P_{H1}}{\partial \dot{s}_{1}} dt = -\lambda \frac{\partial P_{H1}}{\partial \dot{s}_{1}}$$
(5.53)

The three equations are solved under the same assumptions and by using the same method for numerical solution as those discussed in section 5-3.



Comparison of Results

The cost of operating the system according to the generation schedule obtained by using the method developed in this thesis; and by using the alternative methods described in this section are given in Table II on page 70 of this thesis. The total amount of energy generated by the thermal plant of the system, over the optimization interval, is also given in that table.

It is found that the cost of operating the system is a minimum when we use the scheduling equations derived in this thesis.

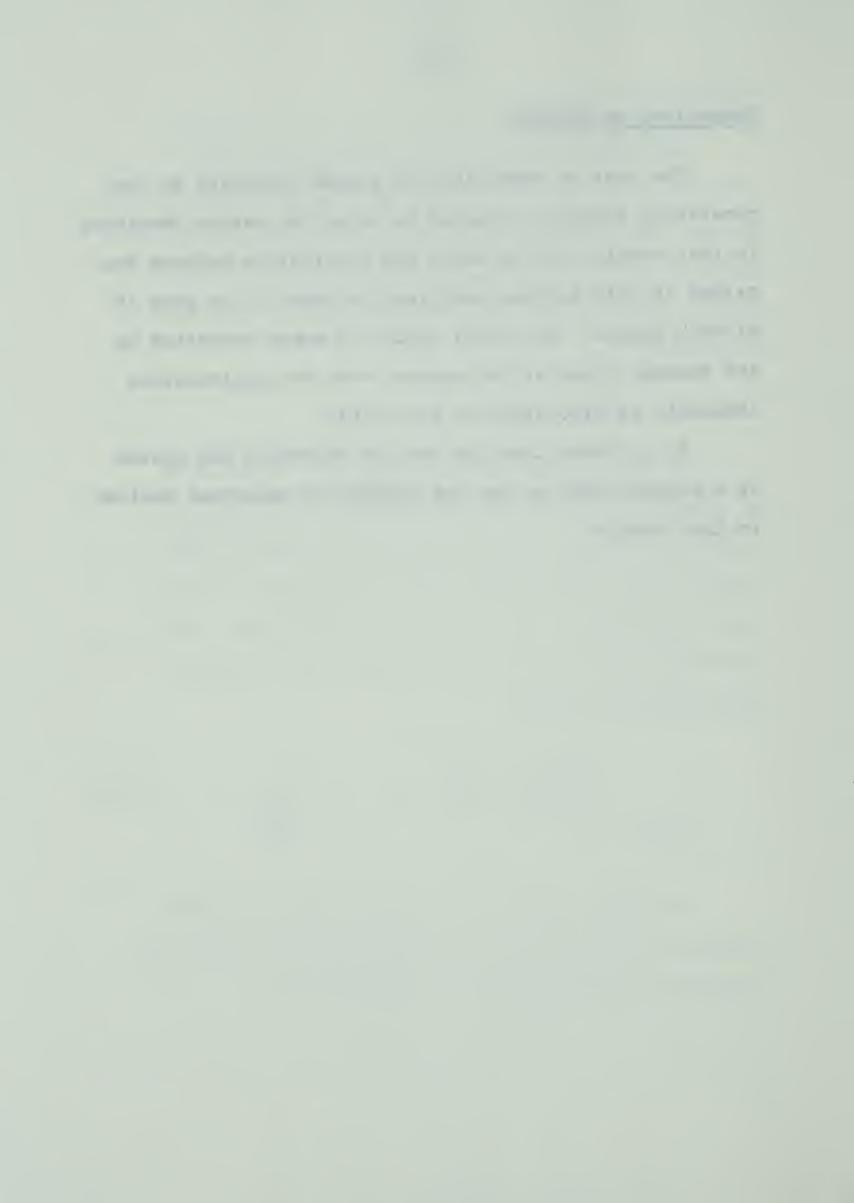
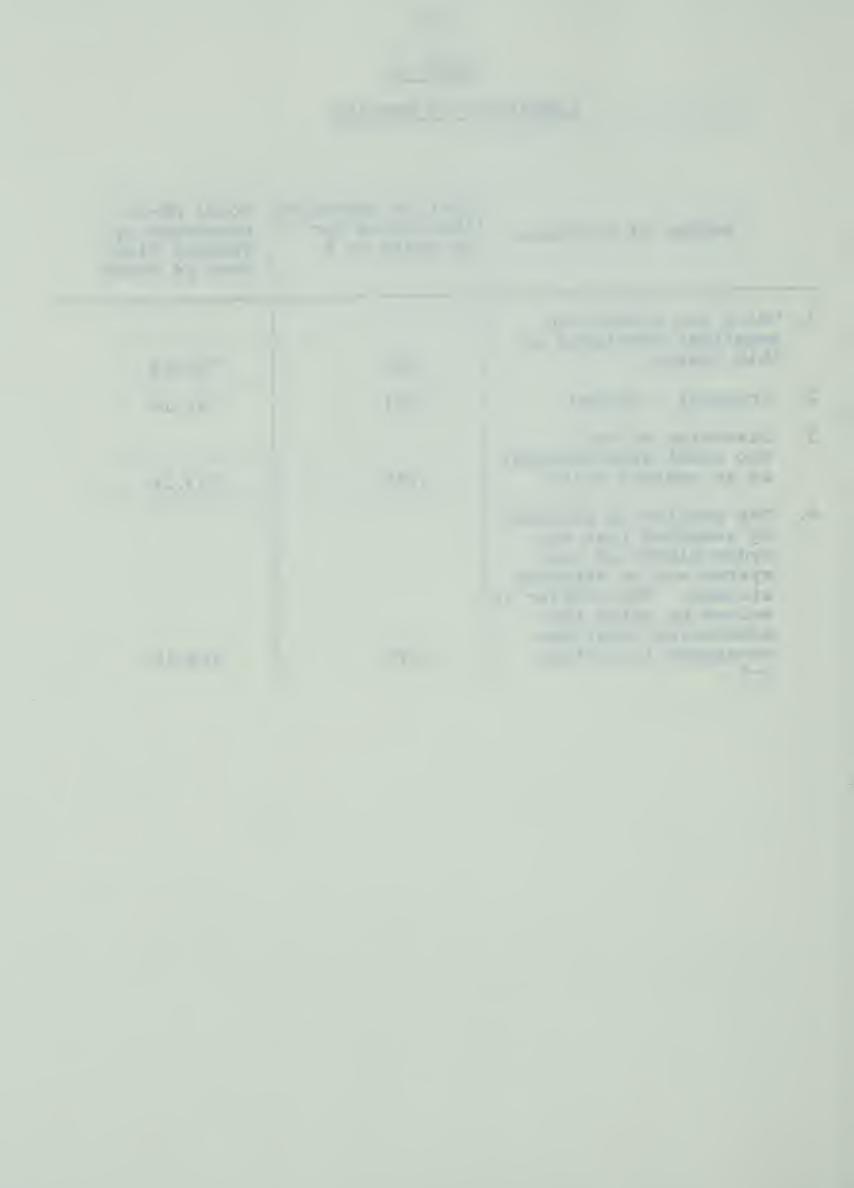


TABLE II
Comparison of Results

	Method of Solution	Cost of Operating the System for 24 hours in \$	Total Mw-hr Generated by Thermal Plant Over 24 hours
1.	Using the scheduling equations developed in this thesis	3209	729.69
2.	Constant Y Method	3214	731.16
3.	Discharge of the two plant kept constant at an average value	3225	733.14
4.	The problem is modified by assuming that the hydro-plants of the system are on separate streams. The problem is solved by using the scheduling equations developed in section 3-5.	3377	765.18

·



CHAPTER VI CONCLUSIONS AND REMARKS

General scheduling equations have been developed for the thermal and variable head hydro-electric plants of an interconnected power system. The scheduling equations for the hydro-plants have been obtained by using the Euler equation in a "modified" form, developed in this thesis. The transmission line losses have been taken into account while deriving the equations.

The scheduling equations for the hydro-plants on separate streams are shown to be equivalent to those by Glimn and Kirchmayer [14].

While deriving the scheduling equations for the common-flow plants, (section 4-4) it has been assumed for simplicity, that only two hydro-plants are located on the same stream. The discussion, however, is quite general in character and can be extended to cases where more than two plants are on the same stream. The time (τ) taken by water to flow from the upstream plant to the downstream plant has been taken into consideration while formulating the problem and deriving the scheduling equations. But, much remains to be done before the equations can be successfully used. Suitable numerical technique is to be found for evaluating $\frac{d\ddot{s}_k(t)}{d\dot{s}_k(t)}$, $\frac{d\ddot{s}_k(t)}{d\dot{s}_k(t)}$, etc. in order

- - -

to find the value of $\frac{\partial \dot{s}_k(t-\tau)}{\partial \dot{s}_k}$ (discussed in section 4-5).

Also while expanding $\dot{s}_k(t-\tau)$ by Taylor's series, it has been assumed that τ is constant. However, strictly speaking, τ depends upon the discharge of the upstream plant. This further complicates the problem of evaluating $\frac{\partial P_{Hk+1}}{\partial \dot{s}_k}$. Obviously, a careful analysis of this

aspect of the problem is highly desirable.

The scheduling equations obtained in this thesis have been applied to a small system consisting of one thermal and two hydro-electric power plants. The two hydro-plants are located on the same stream. τ and the transmission line losses are assumed to be zero.

The same problem has been solved under the same assumptions and simplification by using a few other (approximate) methods described in section 5-4. It is found that the most economical generation schedule is obtained by using the scheduling equations developed in this thesis.

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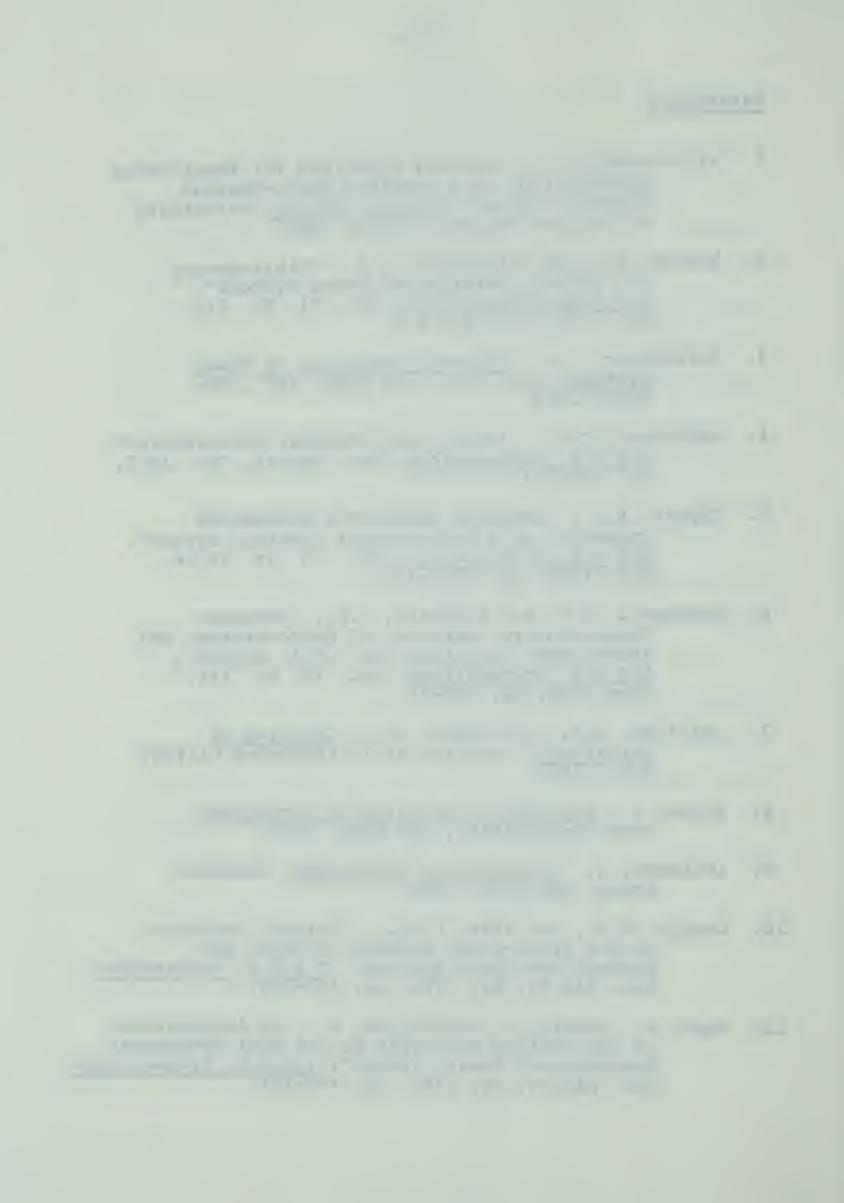
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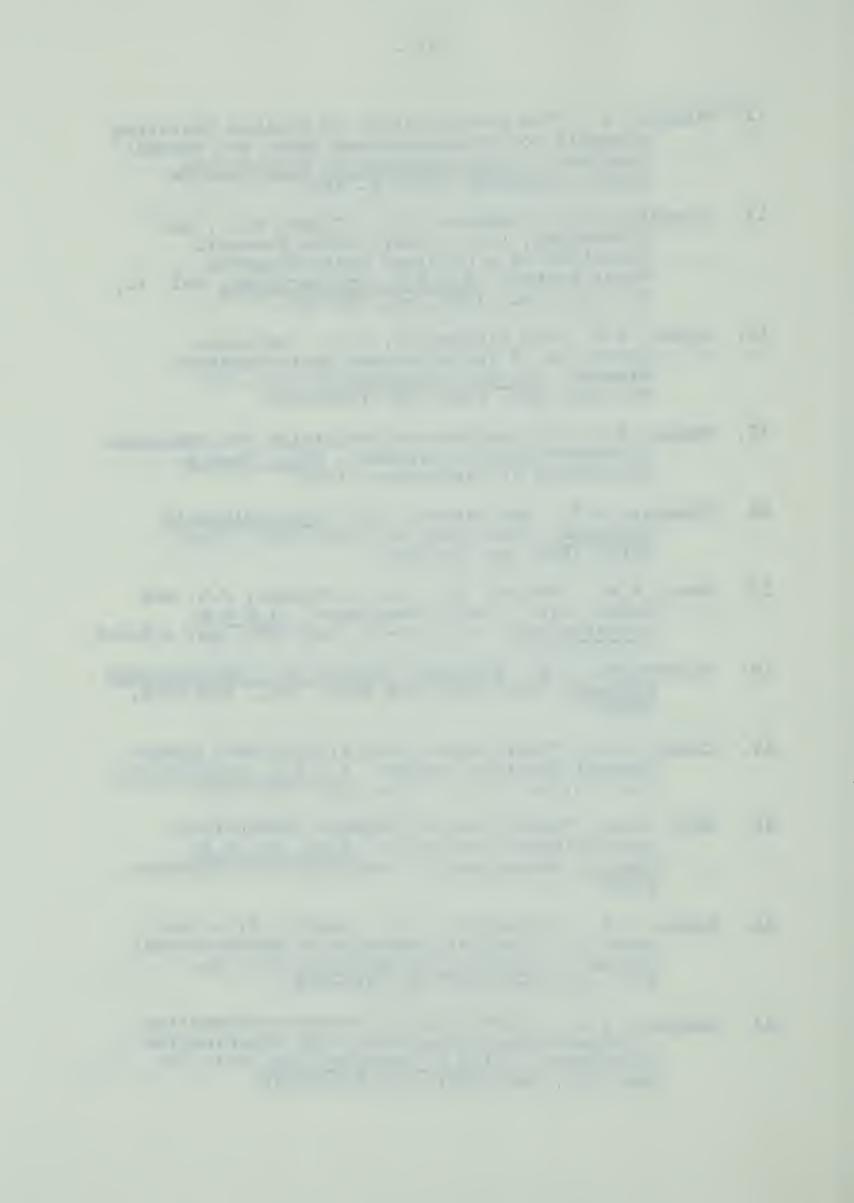
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APPENDIX 1

System Characteristics for the Problem Discussed in Chapter 5

The system consists of one thermal and two hydro-plants. The cost function for the system and the characteristics of the hydro-plants are taken from reference [15]. The expression for the output of the hydro-plant no. 2 was modified so that the incremental water usage curve ($\frac{\partial q_j}{\partial P_{Hj}}$ vs. P_{Hj}) has a positive slope.

The subscripts 1 and 2 refer to the upstream and downstream plant respectively.

1. Cost function.

$$C_{T} = 0.024 P_{T}^{2} + 4.0 P_{T} + 1.0$$

2. Plant discharges

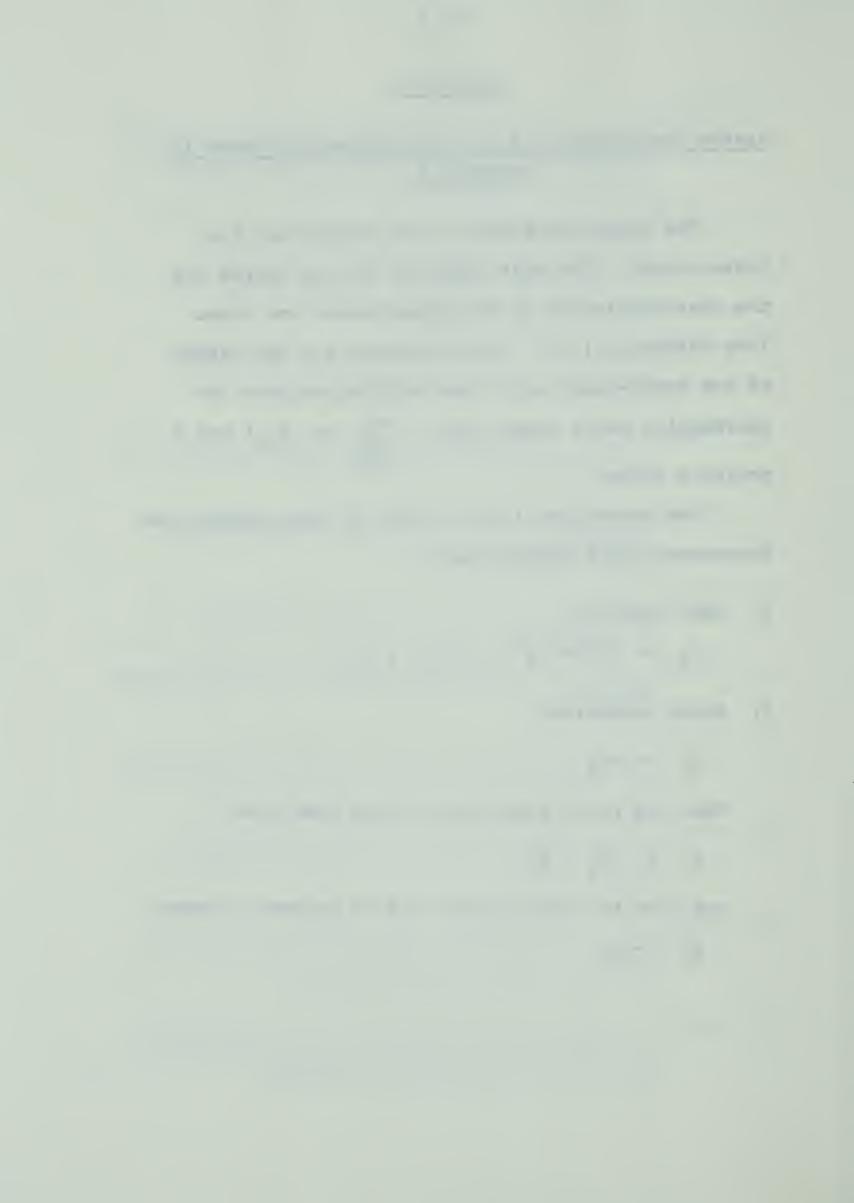
$$q_1 = -\dot{s}_1$$

When the hydro-plants are on the same river

$$q_2 = -\dot{s}_1 - \dot{s}_2$$

and when the hydro-plants are on separate streams

$$q_2 = -\dot{s}_2$$



3. Effective Head

The tailrace elevation and the head losses in the conduits are taken to be constant, so that h_1 and h_2 can be represented by the following relationships:

$$h_1 = h_1(0) - \int_0^t q_1 dt$$

$$A_1$$

When the hydro-plants are on the same stream

$$h_2 = h_2(0) - \int_0^t (q_2 - q_1) dt$$

and when the hydro-plants are on separate streams

$$h_2 = h_2(0) - \int_0^t q_2 dt$$

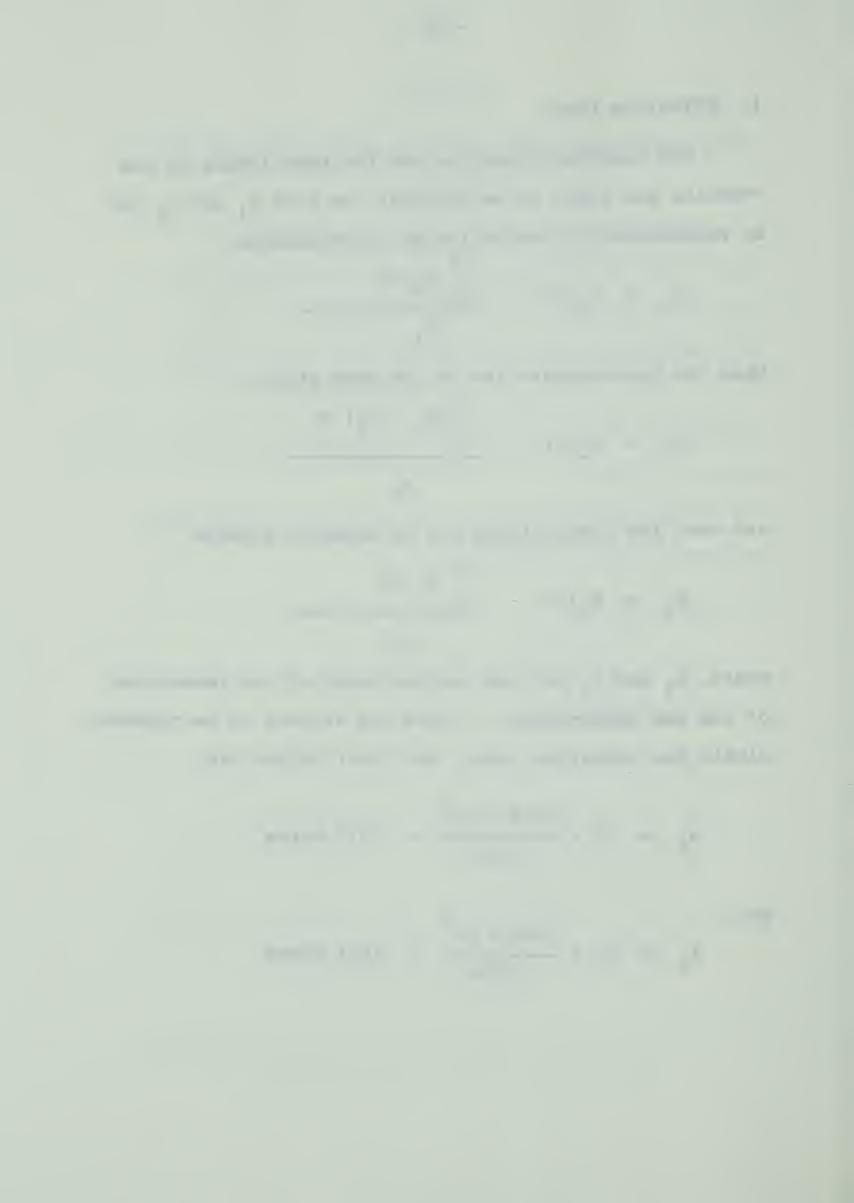
A₂

where, A_1 and A_2 are the surface areas of the reservoirs of the two hydro-plants. These are assumed to be constant within the operating range, and their values are,

$$A_1 = 25 \times \frac{3600 \times 10^3}{43560} = 2310 \text{ Acres}$$

and

$$A_2 = 20 \times \frac{3600 \times 10^3}{43560} = 1651 \text{ Acres}$$



4. Power Generated

$$P_{H1} = 0.06489q_1(h_1-20+38.107q_1-2.863q_1^2)$$
 $P_{H2} = 0.076(h_2-5-1.5 q_2)$

5. Minimum discharges

$$q_1 = 0$$

$$q_2 = 0$$

6. System Load Demand

The load demand is assumed to remain constant at 300 Mw. throughout the optimization interval of 24 hours.

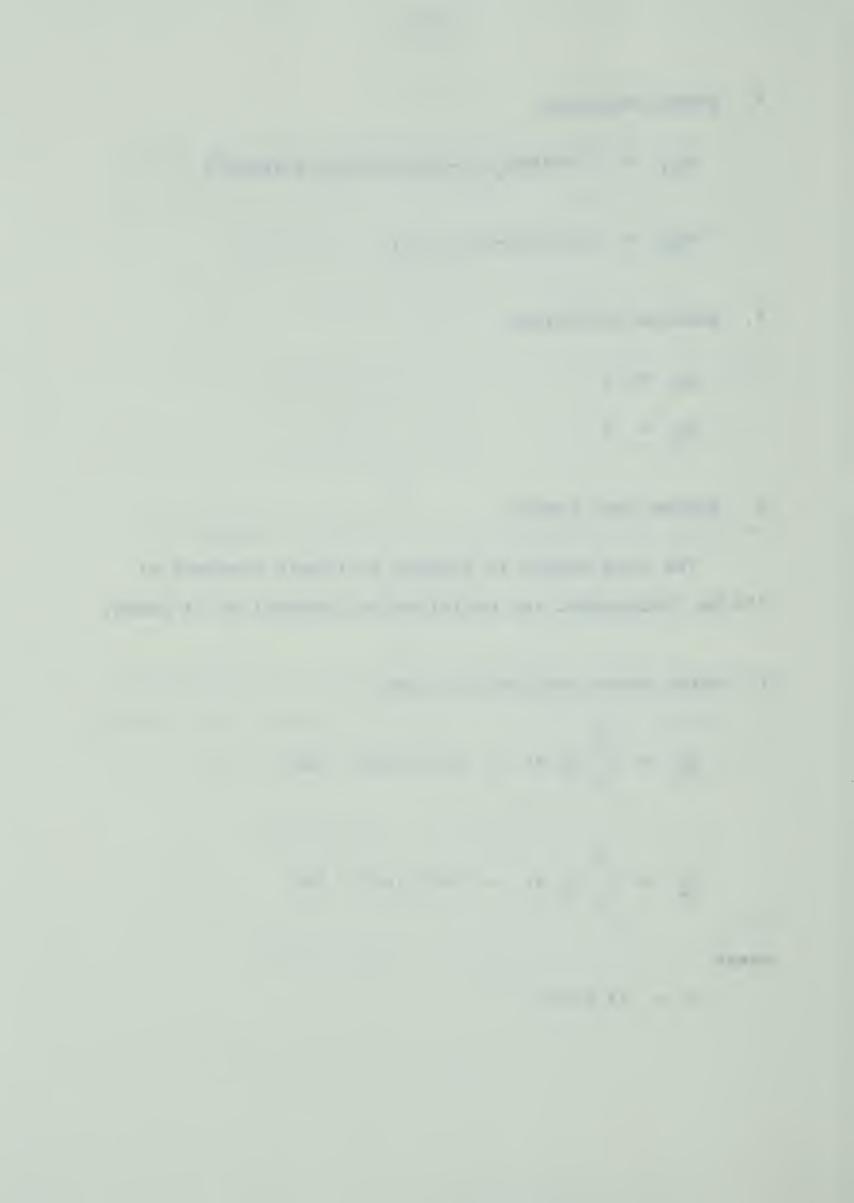
7. Total water available for use

$$Q_1 = \int_0^T q_1 dt = 125 \text{ k.s.f. hr.}$$

$$Q_2 = \int_0^T q_2 dt = 150 \text{ k.s.f. hr.}$$

where

T = 24 hours.



APPENDIX 2

Computer Program

```
C
      HYDRO-THERMAL DISPATCH COMMON FLOW PLANTS
      DIMEN SIONXG1(30), XG2(30), PD(30), H1(30), H2(30), D1(30), D2(30), Z1(30)
     X,Z2(30),PT(30),PH1(30),PH2(30),P(30),ER(30),Z11(30),Z01(30),Z02(30
X),D11(30),C1(30),C2(30),Q1(30),Q2(30),G(30),D12(30),D21(30),D22(30)
     X),GC(30),S1(10),S2(10)
      READ(5,1)SER,H10,H20,XK1,XK2,IL,JK,KL
    1 FORMAT(5F5.1,317)
      READ(5,11)Q10,Q20,DG1,DG2,XG11,XG21,ERQ,K
   11 FORMAT(7F8.3,1I2)
      READ(5,2)(PD(I),I=1,24)
    2 FORMAT(12F5.1/12F5.1)
      XG20=XG21
      XG10=XG11
      J=1
      L=1
   72 WRITE(6,100)
  100 FORMAT(1H1)
      WRITE(6,101)
 101 FORMAT(//////)
      WRITE(6,71)
   71 FORMAT(3HHHR, 4X, 1HL, 5X, 2HG1, 7X, 2HG2, 5X, 2HH1, 6X, 2HH2, 5X, 2HD1, 4X, 2HD
     X2,5X,3HPH1,5X,3HPH2,5X,2HPT,4X,2HPD,5X,3HERR,5X,2HZ1,7X,2HZ2,7X,2H
     XQ1,6X,2HQ2)
      WRITE(6,102)
  102 FORMAT(/)
      CD=0.
      EF=0.
      IA=1
      G1=XG10
      G2=XG20
      XH1=H10
      XH2=H20
      D029LA=IL,JK,KL
      XL=LA
      A=XL/100000.
      XC1=(((G1-G2)/(0.06486*A))-XH1+20.)
      XD12=(76.214*76.214-34.356*XC1)
      IF(XD12)29,30,30
   30 XD11=SQRT(XD12)
      XD1=(76.214+XD11)/17.178
      XPH1=0.06486*XD1*(XH1+38.107*XD1-2.863*XD1*XD1-20.)
      XD2=(\chi H2-5.-(G2/(A*0.076)))/3.0
      IF(XD2)36,37,37
   36 \text{ XD2}=0.0
   37 \text{ XPH2=0,076*XD2*(XH2-5.-1.5*XD2)}
      XPT = (A-4.0)/0.024
      XP=XPH1+XPH2+XPT
      XER=PD(1)-XP
      AAR=ABS(XER)
      IF(SER-AAR)9,10,10
```



```
9 XD1=(76.214-XD11)/17.178
   XPH1=0.06486*XD1*(XH1+38.107*XD1-2.863*XD1*XD1-20.)
   XP=XPH1+XPH1+XPT
   XER=PD(1)-XP
   AAR=ABS(XER)
   IF(SER-AAR)29,10,10
29 CONTINUE
10 WRITE(6,4)IA,A,G1,G2,XH1,XH2,XD1,XD2,XPH1,XPH2,XPT,PD(1),XER,CD,EF
  X,XD1,XD2
 4 FORMAT(I3,1F6.3,4F8.3,2F6.3,3F8.3,1F6.1,3F8.4,2F8.2)
   01(1) = XD1
   Q2(2) = XD2
   H1(1)=XH1
   H2(2)=XH2
   D1(1) = XD1
   D2(2) = XD2
   Z01(1)=0
   Z02(2)=0
   GC(1)=0.012*XPT**2+4.*XPT+1
   D07I = 2,24
   H1(I)=H1(I-1)-D1(I-1)/XK1
   H2(I)=H2(I-1)-D2(I-1)/XK2+XD1/XK2
   Z2(I)=D2(I-1)/((H2(I-1)-5.-3.*D2(I-1))*XK2)
   Z02(I)=Z02(I-1)+Z2(I)
   Z11(I)=H1(I-1)-20.+76.214*D1(I-1)-8.589*D1(I-1)**2.+(0.076/0.06486)
  X)*(H2(I-1)-5.-3.*D2(I-1))
   Z1(I)=D1(I-1)/(Z11(I)*XK1)
   Z01(I) = Z01(I) - Z1(I)
   ZG2(I)=XG20/EXP(Z02(I))
   ZG1(I)=XG20/EXP(Z02(I))
   DO3LL=IL,JK,KL
   XL=LL
   XL=XL/100000.
   PT(I) = (XL-4.)/0.024
   C1(I) = ((XG1(I) - XG2(I)) / (0.06486 * XL)) - H1(I) + 20.
   D12(I) = (76.214*76.214-34.356*C1(I)0
   IF(D12(I))3,34,34
34 D11(I)=SQRT(D12(I))
   D1(I)=(76.214+D11(I))/17.178
   PH1(I)=0.06486*D1(I)*(H1(I)+38.107*D1(I)-2.863*D1(I)*D1(I)-20.)
   C2(I)=((XG2(I)/0.076*XL))-H2(I)+5.)
   D22(I)=C2(I)/3.0
   D2(I)=D22(I)*(-1)
   IF(D2(I))45,46,46
45 D2(I)=0
46 PH2(I)=0.076*D2(I)*(H2(I)-5.-1.5*D2(I))
   P(I)=PH1(I)+PH2(I)+PT(I)
   ER(I)=PD(I)-P(I)
   AER=ABS(ER(I))
   IF(SER-AER)33,19,19
33 D1(I)=(76.214-D11(I))/17.178
   PH1(I)=0.06486*D2(I)*(H1(I)+38.107*D1(I)-2.863*D1(I)*D1(I)-20.)
   P(I)=PH1(I)+PH2(I)+PT(I)
   ER(I)=PD(I)-P(I)
```



```
AER=ABS(ER(I))
    IF(SER-AER)3,19,19
  3 CONTINUE
 19 Q1(I)=Q1(I-1)+D1(I)
    Q2(I)=Q2(I-1)+D2(I)
    G(I) \approx .012 * PT(I) * * 2 + 4.0 * PT(I) + 1.
    GC(I)=GC(I-1)+G(I)
    WRITE(6,4)I,XL,XG1(I),XG2(I),H1(I),H2(I),D1(I),D2(I),PH1(I),PH2(I)
   X_{pT(I)_{p}}PD(I)_{p}ER(I)_{p}Z1(I)_{p}Z2(I)_{p}Q1(I)_{p}Q2(I)
  7 CONTINUE
    WRITE(6,103)
103 FORMAT(////)
    WRITE(6,60)
 60 FORMAT(20X,4HCOST)
    COST=GC(24)
    WRITE(6,43)COST
 43 FORMAT(20X,1F10.3)
    S1(J)=01(24)
    S2(J)=Q2(24)
    R2=020-S2(I)
    R1=010-S1(I)
    AR1=ABS(R1)
    AR2=ABS(R2)
    IF(ERQ~AR1)90,91,91
 91 IF(ERQ-AR2)90,77,77
 90 IF(L-K)76,76,77
 76 IF(J-2)73,74,75
 73 XG10=XG11+DG1
    XG20=XG21
    J = J + 1
    GO TO 72
 74 XG10=XG11+DG2
    XG20=XG21+DG2
    J=J+1
    GO TO 72
 75 L=L+1
    X1 = (S1(2) - S1(1))/DG1
    X2=(S1(3)-S1(1))/DG2
    Y1 = (S2(2) - S2(1))/DG1
    Y2=(S2(3)-S2(1))/DG2
    X = (R1*Y2-R2*X2)/(X1*Y2 Y1*X2)
    Y = (R1 - X * X1) / X2
    XG20=XG21+Y
    XG10=XG11+X+Y
    J=1
    XG11=XG10
    XG21=XG20
    GO TO 72
 77 STOP
    END
```



APPENDIX 3

COMPUTER RESULTS

Explanation of the Symbols in Computer Results

Symbols in Computer Results		Symbols used in the remainder of this thesis (for explanation see page viii and ix.)
HR	=	Hour
L	=	λ
Gl	=	Υl
G2	=	Υ ₂
Hl	=	h _l (t)
Н2	=	h ₂ (t)
Dl	=	q ₁ (t)
D2	=	q ₂ (t)
PHl	=	P _{Hl} (t)
PH2	=	P _{H2} (t)
PT	=	P _T (t)
PD	=	P _D (t)
ERR	=	$P_D - P_{H1} - P_{H2} - P_{T}$
Zl	=	-Z ₁ (t)
Z 2	=	-Z ₂ (t)
		ŗt
Ql	=	∫ ₀ q ₁ dt
Q2	=	$\int_{0}^{t} q_{1} dt$ $\int_{0}^{t} q_{2} dt$
G(1)0	=	Ϋ́ (l) 0
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E E			1.7.	4 0 7)	4. D.		0000	5.05	1 + 0	3.40	23.34	20.05	20.22	24.47	12.71	22.00	21.73	55.12	21.33	2 2	75 : 27	51.07	50.50	180.625	20.50
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Third Corrected Values

241.258 68.050

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